A new view of avian life-history evolution tested on an incubation paradox

Thomas E. Martin

United States Geological Survey Biological Resources Division, Montana Cooperative Wildlife Research Unit, Avian Studies Program, University of Montana, Missoula, Montana 59812, USA (tmartin@selway.umt.edu)

Viewing life-history evolution in birds based on an age-specific mortality framework can explain broad life-history patterns, including the long incubation periods in southern latitudes documented here. I show that incubation periods of species that are matched phylogenetically and ecologically between Argentina and Arizona are longer in Argentina. Long incubation periods have mystified scientists because they increase the accumulated risk of time-dependent mortality to young without providing a clear benefit. I hypothesize that parents of species with low adult mortality accept increased risk of mortality to their young from longer incubation if this allows reduced risk of mortality to themselves. During incubation, songbird parents can reduce risk of mortality to themselves by reducing nest attentiveness (percentage of time on the nest). Here I show that parents of species with lower adult mortality exhibit reduced nest attentiveness and that lower attentiveness is associated with longer incubation periods. However, the incubation period is also modified by juvenile mortality. Clutch size variation is also strongly correlated with age-specific mortality. Ultimately, adult and juvenile mortality explain variation in incubation and other life-history traits better than the historical paradigm.

Keywords: age-specific mortality; life-history evolution; clutch size; incubation period; nest attentiveness; developmental rates

1. INTRODUCTION

A central focus of life-history studies is to understand why life-history strategies vary among species (Lack 1968; Roff 1992; Charlesworth 1994). General theory has suggested that age-specific mortality is a major source of selection on life-history evolution; greater adult mortality favours increased reproductive effort whereas greater juvenile mortality favours reduced reproductive effort (Cole 1954; Williams 1966; Roff 1992; Charlesworth 1994). Empirical studies in fishes, insects, invertebrates and plants have supported this theory (Crowl & Covich 1990; Reznick et al. 1990; Roff 1992). By contrast, the longstanding paradigm in avian systems suggests that food limitation is a primary cause of life-history variation (Lack 1954, 1968; Martin 1987). Food limitation explains considerable life-history variation within bird species (Martin 1987), but has been unable to explain most variation among species (Slagsvold 1982; Martin 1995; Owens & Bennett 1995; Martin et al. 2000). Here, I suggest that life-history theory based on age-specific mortality (Cole 1954; Williams 1966; Roff 1992; Charlesworth 1994) better explains general avian life-history patterns and can provide new insight into previously unresolved problems. One unresolved problem concerns developmental periods of birds. Developmental periods are thought to be longer in southern latitudes, where nest predation rates are sometimes greater than in northern sites (Martin 1996). Increased nest predation is thought to favour shorter developmental periods (Lack 1968; Bosque & Bosque 1995; Martin 1995; but see also Ricklefs (1993)). Thus, longer incubation periods in southern areas where predation is greater pose a paradox (Martin 1996). Moreover, long incubation periods are puzzling in general because they increase the risk of time-dependent mortality to eggs, with no clear benefit (Boersma 1982; Ricklefs 1993). Here, I examine the ability of age-specific mortality to resolve this apparent paradox.

Reducing risk of mortality can have greater fitness benefits than increasing fecundity in species with low adult mortality (e.g. Barbraud & Weimerskirch 2001). Because of such fitness effects, species with lower extrinsic rates of adult mortality should reduce their risk of added mortality from parental effort, assuming similar levels of juvenile mortality (Williams 1966; Ghalambor & Martin 2001). Indeed, parents of passerine species in South America with lower adult mortality were less willing to risk additional mortality to feed their young compared with related species from North America (Ghalambor & Martin 2001). Such effects should also apply to incubation. Incubation incurs energetic costs to parents that are as great as those when feeding nestlings (Williams 1996) and increased energy expenditure can increase mortality (Deerenberg et al. 1995; Bryant 1998). Indeed, the energy costs of incubation have recently been shown to increase mortality (Visser & Lessells 2001); such energy costs are magnified because parents cannot forage when incubating and, in addition, incubating birds are further vulnerable to predation while sitting on the nest (Magrath 1988). Nest attentiveness (percentage of time on the nest) can thereby influence mortality risk for adults and, under the above theory, should be reduced in species with lower extrinsic adult mortality, as appears to occur more commonly in southern regions (Rowley & Russell 1991; Sandercock et al. 2000; Ghalambor & Martin 2001; Sanz 2001).

Nest attentiveness influences length of incubation period within species (Haftorn 1983; Lyon & Montgomery 1985; Moreno 1989) and attentiveness varies among species (Conway & Martin 2000), providing the potential for it to influence interspecific variation in incubation periods.
(Boersma 1982). Here, I examine the novel hypothesis that decreased adult mortality in southern species favours reduced nest attentiveness during incubation, yielding longer incubation periods. I also examine the ability of nest predation to explain additional variation and then examine the ability of age-specific mortality to explain clutch size.

2. STUDY AREAS AND METHODS

Study sites in Arizona included 22 high-elevation (2300 m elevation) forest drainages of mixed conifer and deciduous canopy tree species (Martin 1998). Study sites in Argentina included 16 plots in Yungas forest habitat (Martin et al. 2000). Plots were searched for nests from May through to July (1988–2000) in Arizona and from October through to December (1997–1999) in Argentina. Incubation periods were measured for nests found during nest-building or egg-laying as the period from the last egg laid to the last egg hatched (usually synchronous hatching in both sites). Nests were checked every 2–4 days in both locations to measure nest predation rates, which were quantified by the Mayfield (1975) method (detailed in Martin (1998)). Nest predation was only estimated for species with data on more than 20 active nests (only applies to Argentina). Nest attentiveness was measured as the percentage of time that birds were on the nest. From 1993 to 2000 in Arizona and 1997 to 1999 in Argentina, birds were videotaped during incubation for the first 6 hours of the day, beginning at 0.5 h before sunrise. This protocol standardized both time of day and sampling duration to provide data on incubation behaviour and nest attentiveness averaged over the first 6 hours of each day (Martin & Ghalambor 1999; Martin et al. 2000).

Annual adult mortality probabilities were estimated based on intensive banding and re-sighting of breeding adults over 9 years in Arizona and 3 years in Argentina. I used Cormack–Jolly–Seber models run through the program MARK (White & Burnham 1999), holding sex and year constant for survival but allowing them to vary for recapture probability to provide species-level estimates of annual adult survival probabilities (Ghalambor & Martin 2001).

I used data from the literature on incubation period, nest attentiveness, adult mortality, egg temperatures and body mass for North American passerines and also yielded no relationship between incubation period and body mass (species, p = 0.13, n = 97; independent contrasts, p = 0.4, n = 89). Finally, body (or egg) mass did not explain differences in incubation periods between regions; incubation differed between regions for the same mass (ANCOVA with mass as a covariate: species, F_{1,34} = 39.1, p < 0.0001; independent contrasts, F_{1,34} = 24.5, p < 0.0001). Thus, allometry did not contribute significant variation to the incubation period for the group of species studied here.

3. RESULTS

(a) Variation in incubation periods

Field studies included species paired by phylogeny and ecology between Arizona, USA and El Rey National Park, Argentina (table 1). These pairs of species demonstrated that incubation periods were longer (figure 1), clutch sizes were smaller (Martin et al. 2000) and annual adult mortality was lower (Ghalambor & Martin 2001) in Argentina than in Arizona. Thus, species on these sites characterize the typical latitudinal gradient in life-history strategies. Incubation period is expected to increase with egg mass (Rahn & Ar 1974; Ricklefs & Starck 1998), which is tightly correlated with body mass (Ricklefs & Starck 1998), as I found for the species studied here (species, r = 0.98, p < 0.0001, n = 24; independent contrasts, r = 0.95, p < 0.0001, n = 23). However, incubation period did not increase with body (or egg) mass for Passeriformes in Argentina (species, p > 0.9, n = 19; independent contrasts, p > 0.9, n = 18) or Arizona (species, p > 0.7, n = 18; independent contrasts, p > 0.9, n = 17). The literature provided data for a larger pool of species of small (<100 g) North American passerines and also yielded no relationship between incubation period and body mass (species, p = 0.13, n = 97; independent contrasts, p = 0.4, n = 89). Finally, body (or egg) mass did not explain differences in incubation periods between regions; incubation differed between regions for the same mass (ANCOVA with mass as a covariate: species, F_{1,34} = 39.1, p < 0.0001; independent contrasts, F_{1,34} = 24.5, p < 0.0001). Thus, allometry did not contribute significant variation to the incubation period for the group of species studied here.

Incubation period, on the one hand, explained variation in incubation periods within both Argentina and Arizona, but failed to explain differences between latitudes (figure 2). This result is intuitive because nest predation rates were similar for species paired between Argentina and Arizona (Martin et al. 2000) but incubation period was not (figure 1). On the other hand, given that juvenile mortality should interact with adult mortality to influence reproductive effort (Roff 1992; Charlesworth 1994), the similar nest predation rates between regions simplifies the prediction that lower adult mortality in southern species should favour reduced nest attentiveness.
(b) Adult mortality, attentiveness, and incubation

Nest attentiveness was indeed lower in Argentina (figure 3) and strongly correlated with adult mortality (figure 4a). The North American passerine sample included more species and also showed a strong relationship (figure 4b). Thus, the novel hypothesis that species with lower adult mortality should reduce added risk of mortality to themselves by reducing nest attentiveness was supported both among and within regions (figure 4).

The reduction in attentiveness was predicted to yield longer incubation periods. Lower attentiveness in Argentina (figure 3) was indeed correlated with longer incubation periods (species, \( r = -0.77, p < 0.0001, n = 37 \); independent contrasts, \( r = -0.76, p < 0.0001, n = 36 \), even when controlled for nest predation risk (figure 5a). Nest attentiveness, thus, explained a significant amount of the difference in incubation periods between regions (figure 5a), but also among species within Argentina (figure 5a) and North America (figure 5b). Nest attentiveness is thought to influence incubation by affecting egg temperature and such relationships have been demonstrated within species (Haftorn 1983; Lyon & Montgomerie 1985). I found that such relationships also exist among species (figure 5c).

Attentiveness and its effect on egg temperature and incubation period can be affected by ambient temperature; colder ambient temperatures can yield
Figure 3. Nest attentiveness (percentage of time on the nest) for species paired by phylogeny and ecology (see table 1 for identifications) between Argentina (shaded bars) and Arizona (black bars) was lower in Argentina (paired t = 7.5, p < 0.001, n = 7, t-test paired by phylogeny where all three parulid species pairs were pooled because individual species were not phylogenetically separated between regions, whereas pairs within Turdidae and Emberizidae were phylogenetically separate (Martin et al. 2000).

higher attentiveness, but still lower average egg temperature (White & Kinney 1974; Haftorn 1983). Ambient temperature cannot explain the results here. The average minimum temperatures during the middle (main) month of the breeding season in Argentina (November) and Arizona (June) during 1997–1999 were 11–13 °C and 8–10 °C, respectively. The average maximum temperatures were the same (26–28 °C) in Argentina and Arizona, so temperature differences between sites were small. More importantly, the slightly colder temperatures in Arizona made tests conservative. Colder ambient temperatures yield longer incubation periods (White & Kinney 1974; Haftorn 1983) and if higher attentiveness in Arizona was used simply to offset colder temperatures, then incubation periods should be similar. Instead, the incubation periods associated with higher attentiveness were shorter. The results here represent, to my knowledge, the first demonstration that variation in incubation period is related to nest attentiveness among species and latitudes (figure 5), as has been found within species (Haftorn 1983; Lyon & Montgomerie 1985; Moreno 1989).

(c) Incubation period and age-specific mortality

Nest attentiveness is an extrinsic influence on incubation period. When these extrinsic effects are controlled, remaining variation in incubation period was strongly explained by nest predation within both regions (figure 6a). The faster development favoured by nest predation for a given level of nest attentiveness raises the question: why not always develop faster and have shorter developmental periods for the same parental effort? One hypothesis suggests that faster development may compromise immunocompetence or other physiological processes during development and thereby create adult mortality costs later in life (Ricklefs 1992, 1993). However, the mechanistic basis for this hypothesis has not yet been demonstrated. By contrast, a mechanistic basis for the reverse relationship, where extrinsic adult mortality indirectly influences incubation period through attentiveness, was supported here. Moreover, while incubation period was correlated with adult mortality between Argentina and Arizona, there was no correlation within the small samples of either region alone (figure 6b). The larger North American sample also yielded a non-existent or very weak relationship (species, r = −0.17, p = 0.2, n = 50; independent contrasts, r = −0.29, p = 0.039, n = 49). The weak or non-existent relationship between adult mortality and incubation period within regions could result if adult mortality influences incubation period indirectly, as proposed here. Indeed, the links between adult mortality versus attentiveness (figure 4) and attentiveness versus incubation period (figure 5) were much stronger within regions and better explained variation both within and between regions (i.e. figure 6b).

Adult and juvenile mortality, thus, can explain substantial variation in incubation period for passerines, but
incubation periods vary further in other orders and raise additional questions that require exploration. Nonetheless, the results obtained here provide a new perspective on causes of variation in length of the incubation period. They suggest why species with lower adult mortality may accept increased nest predation costs arising from longer incubation periods, while controlling for effects of nest predation across species in Argentina (white circles) and Arizona (black circles) (species, \( r_p = -0.78, p < 0.0001, n = 33 \); independent contrasts, \( r_p = -0.79, p < 0.0001, n = 32 \)). Incubation period decreases with nest attentiveness, while controlling for nest predation, in Argentina (species, \( r_p = -0.76, p = 0.002, n = 15 \); independent contrasts, \( r_p = -0.75, p = 0.002, n = 14 \)), but not Arizona (species, \( r_p = 0.34, p = 0.2, n = 18 \); independent contrasts, \( r_p = 0.39, p = 0.11, n = 17 \)). (b) Incubation period also decreased with increasing nest attentiveness (species, \( r = -0.50, p < 0.0001, n = 89 \); independent contrasts, \( r = -0.48, p < 0.0001, n = 81 \)) for North American passerines in general. (c) Incubation period decreases with increasing average egg temperature (species, \( r = -0.49, p = 0.018, n = 23 \); independent contrasts, \( r = -0.45, p = 0.025, n = 22 \)).

Figure 6. Incubation period relative to age-specific mortality. (a) Incubation period decreases with increased nest predation rate within and across both Argentina (white circles) and Arizona (black circles) (species, \( r_p = -0.59, p < 0.0001, n = 33 \); independent contrasts, \( r_p = -0.40, p = 0.027, n = 32 \)) when nest attentiveness is controlled. (b) Incubation period decreases with adult mortality in Argentina and Arizona (species, \( r = -0.75, p < 0.001, n = 15 \); independent contrasts, \( r = -0.78, p = 0.001, n = 14 \)), but not within each region (ANCOVA with region as a main effect: species, \( F_{1,12} = 0.1, p = 0.8 \); independent contrasts, \( F_{1,12} = 0.57, p = 0.5 \)).

(d) Age-specific mortality and clutch size variation

Age-specific mortality also explains variation in clutch size. Earlier analyses showed that nest predation explained variation in clutches within Arizona and Argentina, but not between regions (Martin et al. 2000). These analyses did not take into account adult mortality. Adult mortality.
explains a considerable amount of the variation in clutch size even when controlling for variation in nest predation (species, $r_p = 0.95$, $p < 0.0001$, $n = 15$; independent contrasts, $r_p = 0.95$, $p < 0.0001$, $n = 14$). Also, nest predation explains a significant amount of the residual variation after correcting for adult mortality both within and among regions (species, $r_p = -0.71$, $p = 0.004$, $n = 15$; independent contrasts, $r_p = -0.69$, $p = 0.006$, $n = 14$).

4. DISCUSSION

Interest in understanding micro-evolution has focused tests in past avian studies on intraspecific variation, which has resulted in tests of phenotypic plasticity rather than evolutionary pathways (Reznick 1985; Martin 1995). As a result, previous tests have not addressed why species have evolved different levels of reproductive effort. Tests of the cost of reproduction have demonstrated such costs (e.g. Visser & Lessells 2001), but have not examined why they favour different levels of reproductive effort among species. Food limitation, a traditional hypothesis, cannot explain much of this variation in reproductive effort among species; many species feed in similar places and on the same foods but have very different clutch sizes (Martin 1995). Of course, food limitation can contribute to life-history variation and even influence attentiveness (Haftorn 1983; Lyon & Montgomerie 1985; Moreno 1989). Consequently, if food was more limited in the south it could explain reduced attentiveness there (i.e. figure 3). Yet food is obtained and brought to young at a higher rate in Argentina than Arizona, suggesting that food is not more limiting in Argentina (Martin et al. 2000). Other evidence also suggests that food cannot explain major differences between or within regions (Skutch 1949, 1985; Martin 1995; Magrath & Yezersin 1997; Martin et al. 2000).

More importantly, the idea of greater food limitation in Argentina is difficult to reconcile with the lower adult mortality there. It is difficult to imagine that a reduction in clutch size by one or two eggs, as seen for the paired species studied here (Martin et al. 2000), can compensate for greater food limitation and still yield the 50% greater annual adult survival observed between regions (see figure 4a). If such small reductions in clutch size yield such large payoffs in adult survival, then birds in the northern temperate regions also should be strongly favoured to reduce their clutch sizes similarly. The reason why they do not do so seems easily explained by greater extrinsic adult mortality limiting any survival benefits gained by reductions in clutch size. Even if food limitation is a contributing factor to decreased attentiveness in the south, the decrease in reproductive effort and risk-taking from lower attentiveness is still concordant with mortality theory (Cole 1954; Williams 1966; Reznick et al. 1990; Roff 1992; Charlesworth 1994) given the broadly observed decrease in adult mortality in southern regions (Rowley & Russell 1991; Sandercock et al. 2000; Ghalambor & Martin 2001; figure 4a). Thus, I propose an age-specific mortality framework to explain life-history evolution in birds.

Harsher lean (i.e. winter) seasons in northern compared with southern regions can yield greater extrinsic adult mortality either from direct winter effects or from migration that is favoured by harsher winters (Rowley & Russell 1991; Martin 1996; Sandercock et al. 2000; Ghalambor & Martin 2001). These differences in extrinsic adult mortality should favour differences in reproductive effort among latitudes (Williams 1966; Charlesworth 1994). The ability of extrinsic adult mortality to drive evolution of reproductive effort has been clearly demonstrated in systems other than birds (Crowl & Covich 1990; Reznick et al. 1990; Roff 1992). Lower extrinsic mortality favouring reduced reproductive effort can then explain the broad patterns of higher adult survival and smaller clutch sizes of birds in southern latitudes (Martin et al. 2000; Ghalambor & Martin 2001; this study). Extrinsic adult mortality can influence reproductive effort not only in terms of fecundity, but also in terms of parental effort and risk-taking in species caring for altricial young (Williams 1966; Martin 1987; Ghalambor & Martin 2001). Indeed, comparative field experiments between Argentina and Arizona showed that southern parents were less willing to feed their young and risk their own mortality when faced with an adult predator, as expected for species with lower extrinsic adult mortality (Ghalambor & Martin 2001).

Similarly, the mortality risks posed by incubation (Visser & Lessells 2001) were ostensibly reduced by reducing nest attentiveness in species with lower adult mortality (figure 4a), as predicted by this theory. Species in other southern locations also show similar patterns of very low nest attentiveness associated with long incubation periods (Frith & Frith 1992, 1995; Mack 1992). Thus, lower extrinsic adult mortality selecting for lower effort and lower risk-taking in southern regions can explain smaller clutch sizes, lower nest attentiveness, longer incubation periods and higher adult survival compared with northern regions (Martin et al. 2000; Ghalambor & Martin 2001; this study). Lower adult mortality may even explain the greater incidence of cooperative breeding in southern regions (Arnold & Owens 1999).

Adult mortality may explain general life-history differences among latitudes, but additional variation exists among species within each region. Adult mortality seems to explain a limited amount of variation within regions (e.g. figures 4 and 6b), while juvenile mortality in the form of nest predation appears to explain more of the variation among species within regions (figure 6a). Indeed, nest predation explained a considerable amount of the interspecific variation in clutch size and parental care activity within both Argentina and Arizona, but explained little of the variation between regions (Martin 1995; Martin et al. 2000) and similar results were found here for incubation periods (figures 2 and 6a). The inability of nest predation to explain latitudinal patterns is not surprising because, unlike adult mortality, nest predation does not vary consistently with latitude (Skutch 1949, 1985; Martin 1996; Martin et al. 2000), nor is there any reason to expect it to do so. Indeed, nest predation rates were very similar between Argentina and Arizona (Martin et al. 2000). Of course, nest predation can contribute to differences between regions at sites where nest predation is greater in the south. Nonetheless, the constant existence of latitudinal differences in life histories when nest predation is similar (Martin 1996; Martin et al. 2000; this study) emphasizes that nest predation is not the primary cause of latitudinal patterns. Instead, adult mortality seems to vary more consistently with latitude and better explain
latitudinal variation in life-history traits, while nest predation varies among nest types (e.g. cavity versus open nests) within a region and explains variation among species within regions.

Historically, avian scientists have focused on understanding variation within a region (e.g. Lack 1968; Martin 1995) and, as a result, missed an entire mode of selection (i.e. adult mortality) that places important bounds on the variation observed within these regions. Age-specific mortality thus seems to exert a hierarchical set of selection pressures to yield the geographical patterns of life history. Historical and continued focus on food limitation in birds may be strongly misplaced and may only reflect a genetic by environmental interaction, whereas new focus on extrinsic sources of adult and juvenile mortality may provide much greater new insight into evolutionary influences on variation in life-history and parental-care strategies among bird species.

I thank a large number of field assistants for help in locating and monitoring nests. A. Badyaev, D. Emlen, J. J. Fontaine, C. Ghalambor, B. Lyon, J. Lloyd and W. Weathers plus two anonymous reviewers provided helpful comments on early drafts. I thank Blue Ridge Ranger Station of the Coconino National Forest and Apache–Sitgreaves National Forest for logistical support of the work in Arizona and Dra, Patricia Marconi and personnel of El Rey National Park for support in Argentina. This work was supported by grants from the National Science Foundation (DEB-9707598, DEB-9891527) and the International Programs of the US Fish and Wildlife Service and the United States Geological Survey Biological Resources Division.

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As this paper exceeds the maximum length normally permitted, the author has agreed to contribute to production costs.