Correlated evolution of sex and reproductive mode in corals (Anthozoa: Scleractinia)

Alexander M. Kerr1,2,* , Andrew H. Baird2 and Terry P. Hughes2

1The Marine Laboratory, University of Guam, Mangilao, GU 96923, USA
2Australian Research Council Centre of Excellence for Coral Reef Studies, James Cook University, Townsville, Queensland 4811, Australia

Sexuality and reproductive mode are two fundamental life-history traits that exhibit largely unexplained macroevolutionary patterns among the major groups of multicellular organisms. For example, the cnidian class Anthozoa (corals and anemones) is mainly comprised of gonochoric (separate sex) brooders or spawners, while one order, Scleractinia (skeleton-forming corals), appears to be mostly hermaphroditic spawners. Here, using the most complete phylogeny of scleractinians, we reconstruct how evolutionary transitions between sexual systems (gonochorism versus hermaphroditism) and reproductive modes (brooding versus spawning) have generated large-scale taxonomic patterns in these characters. Hermaphrodites have independently evolved in three large, distantly related lineages consisting of mostly reef-building species. Reproductive mode in corals has evolved at twice the rate of sexuality, while the evolution of sexuality has been heavily biased: gonochorism is over 100 times more likely to be lost than gained, and can only be acquired by brooders. This circuitous evolutionary pathway accounts for the prevalence of hermaphroditic spawners among reef-forming scleractinians, despite their ancient gonochoric heritage.

Keywords: coral reef; evolution; life history; phylogeny; reproduction

1. INTRODUCTION
Sexuality refers to the degree and manner of sexual allocation, i.e. it describes whether and how investment in sex function is partitioned within an organism or colony. Reproductive mode concerns the manner in which reproduction or mating occurs, such as via internal fertilization, broadcast spawning or brooding. Population and quantitative genetic approaches to understanding the evolution of sexuality and reproductive mode have focused on the conditions under which selection on these traits can occur. Experimental tests in these fields take advantage of intraspecific variation in responses of an organism to the putative ecological factors mediating selection on these traits (e.g. [1,2]).

The macroevolutionary patterns and consequences of sexuality and reproductive mode, as well as those of many other life-history traits, have been much less explored. The simplest model of the evolution of two traits is one ascribing equal and independent rates of state change. However, this straightforward explanation of macroevolutionary patterns in sex and mode appears uncertain in light of the correlation between these characters as sometimes seen in species tallies of higher Linnean ranks [3]. Hence, correlations in rates of evolution between sexuality and reproductive mode may influence the marked and unexplained variation between higher level taxonomic units in the state frequencies of these traits. Explaining broad-scale taxonomic patterns of sex and mode is also complicated by the fact that there exist numerous possible combinations of transition rates between the states of each trait that can generate a given set of state frequencies in a taxon, but these rates remain essentially unknown.

Using scleractinian corals as a model, we explore several issues concerning sexuality and reproductive mode from a macroevolutionary perspective. First, we ask to what extent does the state of an organism’s reproductive mode influence the evolution of its sexual system and vice versa? For example, studies have often found that hermaphroditism is associated with brooding, and several ideas have been put forth to account for this pattern [4,5]. Second, what accounts for large-scale phylogenetic patterns in reproductive characters? In particular, why are so many species of scleractinian corals broadcast-spawning hermaphrodites? Over two-thirds of coral species tallied to date [6] possess this type of mating system. This pattern stands in contrast to that of non-scleractinian anthozoans, which are more often gonochoric and about equally brooding or spawning [5,7,8]. Finally, we ask what is the evolutionary history of sexual system and reproductive mode? Unlike some other groups of marine invertebrates with good fossil records (echinoids: [9]; gastropods: [10]), coral skeletons do not unequivocally record reproductive or developmental characteristics. As an alternative, several authors [11–14] have used the frequency of reproductive states of extant species within higher level taxonomic groups to make tentative, but conflicting inferences about the ancestral condition of sexual system and reproductive mode. However, this approach fails to account for variation owing to phylogenetic relatedness, which can inflate perceived associations between traits [15]. Hence, to address the above questions, we used the phylogenetic comparative method of evolutionary biology.

* Author for correspondence (alexander.kerr@aya.yale.edu).

With maximum-parsimony and maximum-likelihood methods, we reconstruct character states onto a previously published coral supertree, and estimate rates of evolutionary transitions among and between reproductive modes and sexuality.

2. MATERIAL AND METHODS

(a) Reproductive data
We defined sexuality as a binary character (*sensu* [16]) with ‘hermaphroditic’ signifying that every polyp throughout the species is alike in this trait and ‘gonochoric’ signifying that polyps are not alike in sexuality. Population-level studies of gonochoric species often reveal a low incidence of hermaphroditism [13]. Consequently, species with mixed sexuality are here considered as ‘stable’ gonochoroses following Giese and Pearse [17]. Similarly, mode of development is also treated as a binary character with species categorized as either brooders or broadcast spawners. Mixed reproductive mode in *Pocillopora damicornis* and *Goniastrea aspera* was represented on the phylogeny as sister branches with brooding and spawning states. We found information on sexuality and reproductive mode for 368 species (28% of extant Scleractinia), 108 genera (48%) and 20 families (80%) from all seven suborders (electronic supplementary material, table S1). Of these species, 187 or 50.8% are reported here for the first time to our knowledge, and 349 or 94.8% have data on both sex and mode.

(b) Phylogeny and taxonomy
We used the largest available phylogeny of Scleractinia [18]. Large phylogenies possess more detailed character information and the statistical power to consider more complex patterns of character evolution [19]. To explicitly incorporate phylogenetic uncertainty, we performed our study using a subset of 25 randomly selected optimal trees from the analysis by Kerr [18]. Additional species were grafted post hoc onto the nodes subtending the relevant inclusive Linnean taxon when the grafted species’ membership in the taxon was uncontroversial (*sensu* [20,21]). This resulted in a final confidence set of trees that were pruned to only include the 242 species for which we also possessed data on both sex and reproductive mode. Multifurcations were randomly resolved in an Adams consensus context. The taxonomy followed Cairns et al. [22] for azooxanthellate species and Veron [23] among zooxanthellate and apozooxanthellate forms, except for *Acropora*, which followed Wallace [24].

(c) Ancestral state reconstruction
To estimate the number of times that sexuality and reproductive mode evolved, as well as the rates of gains and losses of these characters over the trees, we mapped ancestral states using maximum-parsimony and maximum-likelihood methods. Sensitivity of parsimonious optimizations of reproductive characters on the shortest trees was assessed by comparing both accelerated- and delayed-state transformations [25] as implemented in *MacClade* 4.03 [26]. Parsimony mappings were the same whether unrooted or employing a corallimorpharian outgroup [27] using reproductive data from Daly et al. [28]. We also used maximum-likelihood model selection (the ‘local’ method of Pagel [29]) at the three most basal nodes: the root and those subtending the two basal subclades, Robusta and Complexa (*sensu* [30] via [18]) as implemented in *Discrete* 4.0 [29,31,32]. The probability *p* of a state at a node was calculated as its relative likelihood summed over all trees.

(d) Transition rates
To estimate transition rates, a simple four-parameter model *M*(α1, β1, α2, β2) was initially considered in which sexuality and reproductive mode each evolve with independent forward and reverse transition rates. This model’s fit to the data was tested via likelihood ratio tests in *Discrete* 4.0 by comparing the maximum-likelihood value with that of a full, eight-parameter model *M*(q1, q1, q2, ..., q4k) in which all state transitions covaried between characters. In the latter model, a rate parameter’s subscripted indices record, respectively, the initial and final ordered pair of states for both characters: 1 = {gonochorism, brooding}, 2 = {gonochorism, spawning}, 3 = {hermaphroditism, brooding} and 4 = {hermaphroditism, spawning}. Parameter values estimated in the full model that were approximately equal suggested further tests to reduce model complexity.

(e) Handling phylogenetic uncertainty
The likelihood approach additionally permitted us to assess uncertainties in the parameter estimates owing to phylogeny reconstruction and branch lengths. Uncertainties in branch lengths were handled by maximum-likelihood estimation of the most complex model of transition rates over a range of monotonic transformations of initial branch lengths [31]. Trees were entered as ultrametric and then, using the branch-transformation parameter *κ* in *Discrete* (see [31]), compared using likelihood-ratio tests with that in which branches were of unit length, which approximates cladogenic evolution in a character, as when state change occurs solely at or near speciation events. We similarly tested for the possibility of rate heterogeneity in transitions across a tree by separately fitting the parameter from a four-category, discrete gamma distribution of rates [31]. To explicitly incorporate phylogenetic uncertainty into all analyses, the summed likelihoods of ancestral states or transition rates from each sampled tree was considered unweighted, approximating a uniform prior over the most probable trees [33].

To deal with taxon-sampling effects [34], we used the taxonomically most comprehensive analysis possible, one of the largest yet attempted. Nevertheless, shallow-water species were sampled 12 times more often than were their equally diverse deepwater forms [22], which are more likely to be gonochoric brooders. Thus, under-sampling of deepwater corals should have biased against our main findings of a more slowly evolving sexuality and an ancestral gonochorism recovered over all trees, branch-length sets and reconstruction methods. Finally, our conclusions are also insensitive to the placements of several taxa differing from those in more recent phylogenies [27,35]. For example, if the gonochoric Euphylliidae lies outside the large clades of hermaphrodites *sensu* [27], maximum likelihood would estimate an even lower rate of evolution in sexual system and in transition rates to gonochorism than those reported here.

3. RESULTS

(a) Ancestral-state reconstruction
Considering first sexual system, maximum-parsimony reconstruction indicated three major derived clades of mostly hermaphrodites, shown in green in figure 1. The first is comprised of numerous families from the suborder Faviida (from *Mycedium robokaki* to *Acanthastrea* spp. in figure 1). The second major clade is comprised mostly of Pocilloporidae and *Madracis* spp. (from *Pocillopora*...
Figure 1. Ancestral reconstructions of sexual system and reproductive mode on one of 25 randomly bifurcated optimal trees with the 242 species used in the analyses. Colours on branches indicate maximum-parsimony mappings using an accelerated transformation (red, gonochorism; green, hermaphroditism; blue, brooding; orange, broadcast spawning). Relative maximum likelihoods of states are shown at the three basal-most nodes and based on the best-fit, four-rate model of state change. The basal subclades Robusta and Complexa (sensu [18,30]) extend above and below the root, respectively. When sex and mode are both invariant in a taxon cum clade, that group is shown as a single branch for economy of presentation.

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The third clade of hermaphroditic corals (Alveopora tizardi) is characterized by a significant increase in the rate of transition from brooding to spawning, with a fourfold greater rate of transition from brooding to spawning than vice versa. As well, hermaphroditism evolved to hermaphroditism at an order of magnitude greater rate than the reverse transition. Among the four joint character states, transition rates differed by over two orders of magnitude. Among spawners, gonochores were an order of magnitude more likely to evolve brooding than were hermaphrodites. Conversely, among brooders, the evolution of spawning appeared independent of sex. Among gonochores, brooders were an order of magnitude more likely to evolve hermaphroditism than were spawners, while the reverse transitions to gonochorism among hermaphroditic corals were rare and appeared independent of reproductive mode (figure 2).

**4. DISCUSSION**

(a) **Ancestral-state reconstruction**

Both parsimony- and likelihood-based methods of character reconstruction indicate that the ancestor of scleractinian corals was gonochoric (figure 1). These results are consistent with this feature being the prevalent and presumably ancestral state in the rest of Anthozoa [28]. Harrison [12] notes that sperm-head morphology in gonochoric scleractinians is identical to that of other cnidarians and suggested that gonochorism was likewise a retained ancestral feature. The author also indicates that reproductive mode was too variable between taxa to speculate on the identity of its ancestral state. Indeed, in this study, maximum-likelihood model selection, which incorporates branch-length information, was likewise equivocal as to the ancestral state of reproductive mode (figure 1) because of high transition rates, indicating that the parsimony-based inference, which requires low rates for accuracy, is inappropriate.

Maximum-parsimony reconstruction reveals that hermaphrodites have independently arisen in three large, distantly related lineages consisting of most reef-building corals (figure 1). The ages of the oldest scleractinian genera found in each of these lineages as based on fossil first occurrences extend to at least the Middle Eocene expansion of modern reefs, ca 45 Myr ago [36,37]. Hence, hermaphroditic spawning has been a stable, long-term life-history strategy for most reef corals, despite

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several small lineages and single species that have reverted to other combinations of sex and mode.

Our analysis also shows a strikingly high acquisition of brooding by Atlantic species (48% of species for which we have records versus 10% of Indo-Pacific species). These brooders have numerous independent origins, among both gonochoric and hermaphroditic lineages, including small groups or single species of Caribbean and western Atlantic endemics (figure 1 and electronic supplementary material, table S1). The relative abundance of brooders in the Atlantic has previously been attributed to lower rates of extinction in the Oligocene when compared with that of spawners [38]. However, our analysis indicates that switches in mode of reproduction have been the major mechanism accounting for the prevalence of Atlantic brooders (e.g. *Agaricia* spp., *Eusmilia fastigiata*, *Scolymia wellsi*, *Favia fragum* in figure 1).

Alternatively, the reconstructed states may not indicate the original conditions of Scleractinia, but rather the extinction probabilities associated with different life-history states. Character reconstruction methods generally assume either minimal extinction or that extinction rates are equal across character states [39]. Information about state change is lost when taxa go extinct that might have otherwise given rise to a lineage retaining information about states deeper in the tree [40]. Therefore, our reconstructions may instead reflect the dominant states of the lineages that have best survived on average the tumultuous, ca 250 Myr history of Scleractinia [41]. If so, reconstructions at the lower nodes suggest that gonochorics have fared better through the early history of corals than have hermaphroditic species, perhaps because these also tend to be deep-water forms. Similarly, the equivocal reconstruction via maximum likelihood of ancestral reproductive mode (figure 1) could indicate that spawners and brooders have fared about equally well over time.

**Transition rates**

Sexual system and reproductive mode in scleractinian corals evolve in a correlated fashion. The simpler models that we considered, in which state transitions in these two characters occurred independently, were significantly less preferred over the one with marked biases in transition rates between joint states. This indicates, for example, that the probability of evolving reproductive mode, such as switching from spawning to brooding (or vice versa), depends on a coral’s sexuality, i.e. whether it is gonochoric or hermaphroditic. This association of sexuality and reproductive mode has been suggested for several animal groups (e.g. scleractinian corals: [11]; alcyonacean anthozoans: [5]; unionoid bivalves: [42]; asterinid asteroids: [43]), but its rate and direction of change have not previously been determined.

Among scleractinian corals, a $2 \times 2$ test of association between the binary states of sex and mode in the absence of phylogenetic information (table 1) is significant ($n = 349$; d.f. = 1; $G$-test with Williams’ correction: $G_{adj} = 4.940$, $p = 0.0274$), suggesting correlated evolution of transition rates. However, this result is largely driven by the proportional increase in hermaphroditic spawners from speciose groups, such as the genus *Acropora*. Effectively, the putative high speciation rate of this genus [44] inflates the apparent association between reproductive characters by ‘pseudoreplicating’ those species sharing hermaphroditic spawning by descent [15]. However, when considering the issue of character correlation by incorporating phylogenetic relationships into a large-scale analysis, here we show that the evolution of sexuality and reproductive mode across the Order Scleractinia is, in fact, unambiguously and highly correlated.

The correlated evolution of sex and mode is also consistent with the hitherto untested prediction, based on broad taxonomic trends, that reproductive mode evolves faster than sexual system among scleractinians. Harrison [45]; also [13] noted that hermaphroditism or gonochorism more often distinguish higher level taxa of scleractinians than does brooding or spawning, the latter two states often occurring in the same genus, or even species. In this study, reproductive mode was found to evolve at over four times the rate of sexual system. Interestingly, the predictions based on taxonomic tallies hold, despite the recently discovered and substantial conflict between Linnean and evolutionary units among corals [27,30,46,47], apparently because polyphyletic taxa almost always still occur within a clade monophyletic for their shared reproductive states.

Evolutionary transitions from planktonic development to brooding and back again might be expected to occur with equal ease in corals by a shift in the timing of release of propagules [13,48]. Since coral larvae are relatively unspecialized when compared with those of bilaterian invertebrates, there exist no known mechanical or developmental constraints to inhibit such transitions in either direction. Nonetheless, we find that scleractinian corals have a nearly fourfold higher transition rate from brooding to spawning compared with that of the reverse shift ($q_{12} + q_{14}$ versus $q_{21} + q_{31}$ in figure 2). Numerous evolutionary pressures could account for the evolutionary bias to spawning. For example, the average dispersal range of spawned larvae is larger than for brooders [13] and spawning reduces the potential for inbreeding [6]. Spawning also avoids the vertical transmission of algal symbionts that occurs in almost all brooded larvae [49], which could enable selection of locally appropriate symbionts when spawners colonize new habitat. Finally, only the reverse transition from spawning to brooding depends on sexuality, evolving at an order of magnitude higher rate among gonochorics than among hermaphrodites ($q_{21}$ versus $q_{12}$). Thus, the ease of an evolutionary shift from planktonic development to brooding suggests mediation by sexual selection, which can only operate among gonochores [1,2].

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**Figure 2** Comparisons of the evolutionary transition rates between the sexual systems and reproductive modes of Atlantic and Indo-Pacific species of scleractinian corals. Analyses include all species with records for both sexual system and reproductive mode (84 species). Transition rates ($q_{21}$) are significantly higher than those in the reverse direction ($q_{12}$) for both Atlantic and Indo-Pacific species. The transition between reproductive modes is, however, significantly higher in the Atlantic endemics (right column).
Our findings provide a robust evolutionary explanation for the prevalence of hermaphroditic spawning among scleractinian corals, revealing a complex evolutionary pathway with deep historical roots. Transition rates between states differed significantly and by as much as two orders of magnitude among, as well as within, characters. Gonochorism is over 100 times more likely to be lost than gained and then only among brooders ($q_{31}$ versus $q_{24}$ in figure 2), such that gonochoric spawners seldom, if ever, evolve hermaphroditism. Thus, the most probable pathways of state change (figure 2) indicate that a gonochoric spawner would preferentially shift to gonochoric brooding, then to hermaphroditic brooding before finally attaining hermaphroditic spawning—the dominant reproductive condition in shallow-water corals. This roundabout evolutionary journey is nevertheless twice as likely as a direct shift from gonochoric spawning to hermaphroditic spawning (figure 2). This bias accounts for the dominant reproductive condition among shallow-water scleractinians, hermaphroditic spawning. Further, in general, the reproductive traits generated the observed large-scale taxonomic and phylogenetic patterns of their joint character states through unanticipated and extended pathways of state change because the characters evolve in a correlated fashion.

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