The benefit of being a social butterfly: communal roosting deters predation

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Aposematic passion-vine butterflies from the genus *Heliconius* form communal roosts on a nightly basis. This behaviour has been hypothesized to be beneficial in terms of information sharing and/or anti-predator defence. To better understand the adaptive value of communal roosting, we tested these two hypotheses in field studies. The information-sharing hypothesis was addressed by examining following behaviour of butterflies departing from natural roosts. We found no evidence of roost mates following one another to resources, thus providing no support for this hypothesis. The anti-predator defence hypothesis was tested using avian-indiscriminable *Heliconius erato* models placed singly and in aggregations at field sites. A significantly higher number of predation attempts were observed on solitary models versus aggregations of models. This relationship between aggregation size and attack rate suggests that communally roosting butterflies enjoy the benefits of both overall decreased attack frequency as well as a prey dilution effect. Communal roosts probably deter predators through collective aposematism in which aggregations of conspicuous, unpalatable prey communicate a more effective repel signal to predators. On the basis of our results, we propose that predation by birds is a key selective pressure maintaining *Heliconius* communal roosting behaviour.

**Keywords:** communal roosting; collective aposematism; dilution effect; aggregation; predation; *Heliconius*

1. INTRODUCTION

In 1867, the naturalist J. A. Allen first described the spectacular aggregations of migratory monarchs, and was thus the first to report the phenomenon of communal roosting in butterflies [1,2]. Shortly thereafter W. H. Edwards, the entomologist who inspired Bates and Wallace to visit the Amazon, reported communal roosting in the tropical *Heliconius* passion-vine butterflies [3]. Since the mid-nineteenth century, this unusual behaviour has generated a great deal of scientific and popular interest. After 140 years of work on butterfly roosting, however, it still remains unclear what the benefit of being a social butterfly is. Here, we test the major hypotheses for why *Heliconius* butterflies roost communally, and present experimental data assessing the adaptive function of this behaviour.

Communal roosting is observed in many types of animals, including birds, bats and primates [4–7], and is especially widespread in insects, having been observed in bees, wasps, beetles, dragonflies, butterflies and moths [8–10]. In butterflies, communal roosting is described as ‘a behavior in which individuals aggregate quiescently in close proximity to each other at a site for more than a few hours’ ([9] p. 90). This behaviour is known primarily from the heliconines, acraeines, ithomiines and danaiines [11,12]. Many species of *Heliconius* in particular have been observed to form communal roosts in which adults repeatedly gather in a particular location in their home range to roost for the night (figure 1a). Butterflies arrive at their roost sites as early as 3 h before sunset, and depart from roosts within the first 2 h after sunrise. Roost mates are generally conspecifics, but sometimes different species—often Müllerian co-mimics—roost together [13]. The evolution of communal roosting behaviour in *Heliconius* is believed to be facilitated by unpalatability, slow reproductive rate [14], limited learned home range [12,15] and long lifespan owing to pollen consumption [16,17].

*Heliconius* butterflies are well known for their brightly coloured wing patterns and their unpalatability owing to cyanogenic glycosides [18]. Because of these features, *Heliconius* butterflies serve as a textbook example of warning signalling—also known as aposematism. Aposematism is a major theme in the evolution of animal phenotypes, where its principal function is to provide warning signals associated with unprofitability to predators, such as toxicity, unpalatability or capture costs [19–21]. Aposematism is widespread in invertebrates and is often achieved through visual signalling via conspicuous colour patterns. Collective aposematism is a phenomenon in which aposematic prey form aggregations to enhance the effects of warning signals [22,23]. Despite the substantial amount that is known about *Heliconius* natural history, little is known about their communal roosting behaviour and its possible relationship to collective aposematism.

There is a broad literature on *Heliconius* roosting [12,13,24–29]; however, relatively few experimental studies have been performed to address the function of this behaviour. Although the adaptive consequences of roosting remain unclear, it is unlikely that aggregations are involved with thermoregulation [10], kin selection [13] or mating (females usually mate once in their lifetime, within hours or days after eclosion) [30,31]. The favoured explanations have been narrowed to two major hypotheses: information sharing and/or anti-predator defence. The information-sharing hypothesis proposes that new roost mates, presumably related individuals, follow experienced...
members from the roost to food sources [27,32]. This form of information sharing is a common behaviour in other communal animals such as birds [33,34]. Conversely, the anti-predator hypothesis suggests predators avoid Heliconius aggregations as a result of collective aposematism or predator confusion [12,13,35]. In other aposematic insects, gregarious behaviour contributes to collective enhancement of warning signalling, resulting in more effective predator deterrence [22,36–38]. Another potential anti-predator mechanism is the prey dilution effect, often known as ‘safety in numbers’, which posits that the probability of a single individual being attacked in a group is lower with increasing density [39–42].

In field studies in Panama and Costa Rica, we tested these hypotheses to determine why Heliconius passion-vine butterflies assemble in communal roosts. To test whether Heliconius butterflies rely on roosts as information-sharing centres, we examined following behaviour by butterflies during departures from natural aggregations. The anti-predator defence hypothesis was tested using avian-indiscriminable artificial butterfly models placed singly and in aggregations in the forest. Following the predation study, we investigated whether naturally occurring roost sizes correspond with optimal roost sizes inferred from experimental data.

2. MATERIAL AND METHODS

(a) Field sites
All data collection was completed in Costa Rica and Panama. Field sites for this work were chosen based on the abundance of Heliconius butterflies and communal roosts. The Panama sites were part of the Smithsonian Tropical Research Institute; we used areas in Gamboa and Soberania National Park along Pipeline Road. Data were collected in Panama from June through September of 2010 and 2011 during the rainy season. The 2010 visit resulted in natural roost data collected from Heliconius erato, and the 2011 visit resulted in the predation experiment data. In Costa Rica, we worked at the Organization for Tropical Studies’ La Selva Tropical Biological Station in Sarapiqui. La Selva was visited in April and May of 2011, during the end of the dry season into the beginning of the rainy season. This site was used for collecting natural roost data from Heliconius sara and model predation experiments.

(b) Natural roost observations
To assess the information-sharing hypothesis, which predicts that butterflies use roosts to learn the locations of foraging sites from other roost mates, we observed following behaviour of H. erato and H. sara individuals departing natural roosting aggregations. Following was confirmed only if a butterfly was observed departing the roost with another roost mate to subsequently arrive at a flowering plant with that same roost mate. The roosts were within 30 m of the first visited flowering plant, and it was feasible to follow butterflies to these plants. We began all observations approximately 30 min before sunrise, before butterflies left to forage, and stayed until only one individual remained. All H. erato roost members were given unique identification numbers using a Sharpie marker, and were sexed and age determined based on wing wear [43]. Butterflies were captured and marked after departing

Figure 1. (a) Natural and (b) artificial roosts of Heliconius erato. (c) Beak pinch at end of model abdomen, and triangular beak mark imprint on wax wing (indicated by arrow). (d) Dorsal view of abdominal beak pinch.
their roosts to prevent them from associating the roost with danger [44]. Average roost sizes were determined by recording the number of individuals in each roost nightly.

(c) Models

We used artificial butterfly models to test the hypothesis that *Heliconius* roosts provide an anti-predatory benefit. Wing images were designed in Adobe Illustrator using high-resolution scans of ventral *Heliconius erato petiverana* wings as a reference. Model butterfly wings were printed on Whatman filter paper, which produces reflectance spectra close in brightness to actual wings, using an Epson Stylus Pro 4880 printer with UltraChrome K3 ink. A 3-hydroxy-3-3-kynurenine (3-OHK) pigment solution of 1.0 mg 3-OHK dissolved in 100 μL of methanol was applied to the yellow bands on the hindwing to provide accurate UV reflectance, because printed yellows do not accurately mimic *Heliconius* yellow in the shape of their reflectance spectra. 3-OHK is the same yellow wing pigment as found in the wings of the butterflies themselves [45,46]. Portions of the artificial wings were dipped in clear wax to allow imprints of beak and bite marks, then Krylon matte finishing spray was applied lightly (before spectra measurements were taken) to coat the 3-OHK with a waterproofing element. Model abdomens were made of Newplast Plasticine.

Birds, in particular jacamars, flycatchers and tanagers, are major *Heliconius* predators [27,36,47–50]. Therefore, artificial butterfly models were designed and assessed using tetrachromatic bird colour-vision models in order to ensure that avian predators would find colour stimuli presented by the models indiscriminable from actual butterflies. Reflectance spectra of yellow, pink and black from the models and the ventral surface of natural *H. erato petiverana* wings were measured using an Ocean Optics USB2000 fibre optic spectrometer. A deuterium–halogen tungsten lamp (DH-2000, Ocean Optics) was used as a standardized light source, and measurements were taken using a bifurcating fibre cable (R400-7-UV–vis, Ocean Optics). The axis of the illuminating and detecting fibre was at an elevation of 45° to the plane of the wing and pointed left with respect to the body axis for every measurement. A white spectralon standard (WS-1-SL, Labsphere) was used to calibrate the spectrometer.

Spectra measurements from the fibre optic spectrometer were processed using MATLAB software (see Briscoe et al. [46]). The quantum catches for stimuli [51] were calculated, and discriminability between artificial models and natural wing reflectance spectra was determined using tetrachromatic bird-vision models from Vorobyev & Osorio [52]. The comparisons were made using the blue tit (*Parus caeruleus*) and chicken (*Gallus gallus*) cone sensitivities, which represent the UV- and violet-type avian visual systems, respectively. Low light intensity and open habitat irradiance spectra were used [53]. All spectral comparisons represented by an average of wing measurements (*n* = 12) fell below the threshold of one just noticeable difference (figure 2 and table 1); therefore, the reflectance spectra of models and actual butterfly wings were inferred to be indiscriminable to birds.

(d) Predation experiments

Butterfly models were tied to branches with thread in appropriate roosting habitats and in natural roosting postures [10,13] (figure 1b). At our Costa Rica field site, a total of 320 aggregations containing five butterflies each and 320 single butterflies were used for the first predation experiment. The models were placed in 80 different forest sites, each containing four roosts and four single individuals. All 80 sites were at least 250 m apart to control for the home range territories of primary *Heliconius* avian predators. Predator home range sizes vary between 100 and 250 m, and have been determined by other researchers through radio tracking (flycatchers [54]), harmonic distance method and core area use (tanagers [55]), minimum convex polygon modelling (flycatchers [54]) and observation (tanagers [56]; jacamars [57]; C. E. G. Pinheiro 2011, personal communication; L. E. Gilbert 2011, personal communication). Tree Tanglefoot...
was applied to the base of plant stems containing artificial butterflies to avoid removal or attack of the models by ants and other small arthropods, and it was also effective in preventing small vertebrates such as lizards from reaching the butterfly models [58].

The models were left at their sites for a total of 96 h (4 days), and each model was examined daily for predation evidence and replaced if attacked. None of the 80 sites were used twice in the study because predator forgetting time varies between bird species, and is affected by prey conspicuousness and distastefulness [59–61], both difficult to measure for this project. A butterfly was considered attacked if damage to the abdomen and wings appeared in the form of beak marks and/or large indentations in the abdomen (figure 1c,d; see also [62,63]). Small chew-like marks, probably from mandibular insects such as grasshoppers, were not considered in the data analyses. If a model was attacked twice on two separate days, then it was counted only as a single attack. If multiple butterflies in a roost were attacked, this was also counted as a single attack (i.e. each roost was treated as a unit), because in nature when a roost is disturbed most or all individuals depart from the roosting site [13,29] (S. D. Finkbeiner, 2010, personal observation), thereby reducing the probability of further predation attempts on individual roost mates. Predation differences were analysed using a Wilcoxon signed-rank test with continuity correction, using each site as a sample.

To assess the per capita attack risk of individual butterflies, single (focal) individuals were randomly selected from each roost to compare attack rates under the conservative assumption that one attack leads to the dispersal of the other roosting butterflies. For roosts of one, this single individual was the focal individual. The binomial response of attack (yes, no) was modelled as dependent upon roost size using generalized linear models. In these analyses, site was included as a random effect to account for potential non-independence among replicate roosts within sites.

As a control for our models, we compared the attack rate on models with real wings (and Plasticine abdomens) to the attack rate on models with artificial wings, using five forest sites separated 250 m apart, each with four models with real wings and four models with artificial wings. They were left for 96 h and checked daily for attacks. We found no difference in attacks between real-wing models and artificial-wing models (Wilcoxon signed-rank test: \( W = 249.5, p = 0.836, n = 20 \)).

The second predation study, conducted in Panama, investigated the association between roost size and predation frequency. This was tested using the same artificial butterfly models described earlier. One hundred forest sites were chosen, approximately 250 m apart, and each of these sites contained two roosts of 2, two roosts of 5 and two roosts of 10 butterflies. This totalled 600 artificial roosts: 200 roosts for each treatment. Roosts were removed after 4 days, each of the 100 sites was used only once and attacked models were replaced when necessary. If a roost was attacked more than once or if more than one butterfly in the roost was attacked, then it was counted only as one attack; thus each roost was considered as a single unit in the analysis. These predation data were analysed using a Kruskal–Wallis multiple comparison test with a post hoc Bonferroni correction, using each site as a sample. Individual per capita attack risk was determined by comparing attack rates between randomly selected single (focal) individuals in each roost treatment, and the binomial response of attack was modelled as dependent upon roost size using generalized linear models, with site included as a random effect. The post hoc tests for the significance of pairwise comparisons were made using a Tukey test.

We determined at what time of day roosts are most susceptible to attack to gain further information about the roost predators. This study was conducted with 100 artificial roosts in Panama: 52 roosts of two placed randomly along two trails in Soberanía National Park, with the remaining 48 roosts already being observed for predation data (16 more roosts of 2, 5 and 10 each). The artificial roosts were checked for attacks every 3 h from 06.00 (15 min prior to sunrise) to 18.00 (just before sunset) for 9 days.

### 3. RESULTS

**a) Observations of roost departure following**

To assess whether *Heliconius* butterflies rely on communal roosts as information-sharing centres, we observed following behaviour during morning roost departures. Of 256 *H. erato* departures by at least 66 unique individuals from nine different roosts, only one instance of following from the roost to a flowering plant was observed (Wilcoxon signed-rank test: \( W = 256, p < 0.0001, n = 256 \), based on the null hypothesis that there is no difference between the number of butterflies that do and do not follow). Additionally, out of 74 *H. sara* butterfly departures from at least 25 unique individuals and three different roosts, we observed no incidence of following. When butterflies departed roosts in the morning occasionally more than one individual would leave at the same time, but they were never observed to follow one another. Most of the time the butterflies left individually, even when there was a disturbance event.

*Lantana* and *Psychotria* plants, considered to be key *Heliconius* resources, were common at our study sites, and it is important to note that spatial distribution and density of nectar and pollen sources may influence whether following happens. We observed that many roosting butterflies shared the same flower resources and followed each other

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**Table 1. Results from discriminability calculations using low light intensity and open habitat irradiance. Comparisons were made using the blue tit (*Parus caeruleus*) and chicken (*Gallus gallus*) cone sensitivities, and are based on mean values \( n = 12 \). Spectra comparisons fell below the threshold of one just noticeable difference (JND). FV, forewing ventral; HV, hindwing ventral; L, light; D, dark.**

<table>
<thead>
<tr>
<th>JND comparisons</th>
<th>FV-L pink versus model pink</th>
<th>FV-D pink versus model pink</th>
<th>HV yellow versus model yellow</th>
<th>FV black versus model black</th>
<th>HV black versus model black</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>P. caeruleus</em></td>
<td>0.597</td>
<td>0.374</td>
<td>0.736</td>
<td>0.336</td>
<td>0.440</td>
</tr>
<tr>
<td><em>G. gallus</em></td>
<td>0.556</td>
<td>0.424</td>
<td>0.858</td>
<td>0.315</td>
<td>0.533</td>
</tr>
</tbody>
</table>
between flowering plants, as previously described by Waller & Gilbert [27]. As well, on multiple occasions, we observed a new recruit following an established roost member to the aggregation, suggesting following behaviour may play a role in roost recruitment.

(b) Observations on roost size and proximity
The average \( H. \ erato \) roost size was observed to be 4.3 individuals (s.d. = 1.6, \( n = 233 \) observations across nine natural roosts) from roosts in Gamboa, Panama. It was common to find multiple roosts within 15 m of each other, some as close as 3 m apart, and in line-of-sight from one another in a given part of the home range. We observed this in both \( H. \ erato \) and \( H. \ sara \). When butterflies of \( H. \ erato \) were exercising pre-roosting behaviour, the butterflies often interacted with one another before convening at their preferred roosts.

(c) Effect of roost size on predation frequency
To determine whether communal roosts provide an anti-predatory benefit, we first tested whether there is a difference in predation between single butterfly models or models placed in aggregations of five. We observed a very strong difference in attack frequencies between roosting and solitary butterflies. Of 320 artificial \( H. \ erato \) roosts, only 25 were attacked compared with 68 attacks on 320 single individuals (Wilcoxon signed-rank test: \( W = 4141.5, p = 0.000262, n = 80 \) sites; table 2). Individual attack rate also differed significantly between roosts of 1 (single butterflies) and roosts of 5 (\( F_{1,559} = 36.85, p < 0.0001, n = 640 \) roosts total), with 21.3 per cent of focal individuals being attacked in roosts of 1 but only 3.4 per cent of focal individuals being attacked in roosts of 5 (table 2).

Considering the difference we found in predation between single butterflies and roosts, we decided to investigate whether the predator deterrence effect was similar between single butterflies and roosts, we determined individual attack risk also differed significantly between roosts, based on the assumption that one attack leads to the dispersal of the other roosting butterflies. Probability values between pairwise comparisons are indicated by asterisks.

<table>
<thead>
<tr>
<th>aggregation size</th>
<th>total observations</th>
<th>roosts attacked</th>
<th>attack risk (per roost, %)</th>
<th>attack risk (per individual, %)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Costa Rica</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>single individuals</td>
<td>320</td>
<td>68</td>
<td>21.3</td>
<td>21.3</td>
</tr>
<tr>
<td>roost of five</td>
<td>320</td>
<td>25</td>
<td>7.8</td>
<td>3.4</td>
</tr>
<tr>
<td>Panama</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>roost of two</td>
<td>200</td>
<td>21</td>
<td>10.5</td>
<td>9.5</td>
</tr>
<tr>
<td>roost of five</td>
<td>200</td>
<td>8</td>
<td>4.0</td>
<td>1.5</td>
</tr>
<tr>
<td>roost of 10</td>
<td>200</td>
<td>24</td>
<td>12.0</td>
<td>4.5</td>
</tr>
</tbody>
</table>

\( *p < 0.05. \)
\( **p < 0.001. \)
\( ***p < 0.0005. \)

(d) Timing and nature of predation
We sought to determine at which time of day roosts are most susceptible to attack. We found that all attacks occurred during the morning hours, as previously observed by Mallet [13]. Ten out of 12 attacks, from 100 different artificial roosts, occurred between the hours of 06.00 and 09.00, and two attacks occurred between the hours of 09.00 and 12.00. Triangular beak marks observed in the model abdomens supports previous findings [48–50] that birds are the primary predators of \( Heliconius \). This is further supported because all attacks on models occurred between the hours of 06.00 and 12.00 when birds are most active [56,64].

4. DISCUSSION
In this study, we assessed the two major hypotheses for explaining why \( Heliconius \) butterflies participate in communal roosting behaviour. We found no support for the information-sharing hypothesis, because there was little evidence of roost mates following each other to resources upon departure from roosts. These findings are in agreement with Mallet [13], who observed a similar lack of following and even a predictable tendency for roost mates to visit different flowers.

In contrast, we found very strong support for the anti-predator defence hypothesis. Our field experiments in Costa Rica using avian-indistinguishable butterfly models showed predation attempts on singly placed models were nearly three times higher than predation attempts on roosts of five models, and the predation risk for a...
single butterfly is over six times the per capita predation risk for an individual butterfly in a roost of 5 (table 2). A second field experiment in Panama showed the same trend, with attack rates more than twice as high on roosts of 2 versus 5, and individual risk over six times higher in a roost of 2 than a roost of 5 (table 2). Surprisingly, however, the Panama experiment also showed that attacks on roosts of 10 were three times as high as on roosts of 5 (table 2), thus suggesting the predator deterrence effect may be weak or non-existent in large aggregations. In the Panama experiment, the greatest individual fitness benefit was seen in roos of 5, with an individual predation risk of 1.5 per cent; however, individuals in roosts of 10 benefited only slightly less (not significant) than those in roosts of 5 (individual risk of 4.5% in roosts of 10). Therefore, even though roosts of 10 did not enjoy a significantly decreased predation rate compared with roosts of 2 or 5, a simple prey dilution effect [39] would still favour large roost sizes.

Our field studies suggest that the most beneficial minimum roost size, with respect to group advantage, may be around 5 individuals. This is because our experimental aggregations of five models experienced the lowest overall attack rates and also offered the lowest per capita attack risk for individuals. Interestingly, this experimentally determined minimum roost size corresponds closely to naturally observed H. erato roost sizes (4.3). This correspondence implies that predator deterrence, coupled with a prey dilution effect, could help explain roost sizes observed in natural populations. An optimal or minimum roost size may be important for predator deterrence when butterfly densities are too low for assembling in larger aggregations; however, roost sizes are probably influenced by foraging and resource availability as well.

Because medium-sized roosts provide an anti-predatory benefit through collective aposematism, it is unclear why larger aggregations appear to lose their ability to deter predators. It is possible that solitary individuals or very small roosts are too small to communicate an effective warning signal, whereas very large roosts may be conspicuous enough to attract naive predators. In support of this idea, Salcedo [29] noted that the most frequent predator disturbances on H. sara roosts occurred on the largest aggregation studied (10–16 individuals), suggesting that oversized aggregations of Heliconius may increase the frequency of predator attacks. Although there is much evidence that predator wariness and aggregation-induced phobias increase with the size of aposematic prey aggregations [61], greater aggregation distinctiveness increases detectability costs and, in some cases, larger aggregation sizes of defended animals result in higher predation [23,65]. There may be other costs to forming larger groups such that small movements made by other butterflies could produce incidental disturbances or ‘false alarms’, causing unexpected or premature roost departures, but this would not explain higher predation on larger roosts from our experimental data.

We propose that it is no coincidence that multiple roosts are found in the same location in a home range and often in line-of-sight from one another. We have observed this in H. erato, H. sara, H. melpomene and H. charithonia, with some roosts neighbouring the roosts of heterospecifics (in Costa Rica). Others have observed this Heliconius behaviour of preferentially forming smaller aggregations as well [13,66] (C. Boggs 2011, personal communication). Salcedo [29] proposes these may be early-stage aggregations made up of individuals who have not yet located a larger roost. In contrast, however, our field observations of pre-roosting interactions between butterflies from different roosts suggest that the butterflies are aware of other roosts in their home range, yet still choose to join smaller aggregations, despite plenty of substrate (i.e. dry branches and twigs) to sustain much larger aggregations. On the basis of the assumption that individual fitness should increase with larger aggregations owing to a reduced per capita predation risk, again it is unclear why local butterflies do not simply choose to form very large roosts. There is the possibility that an interaction among roosts is introduced, so that if individuals in one group are attacked the predator is inhibited from attacking individuals in other groups [42]. This repeated warning display could therefore facilitate rapid learning in naive birds whose feeding areas may include multiple Heliconius roosting sites [67,68]. It is also possible that very large roosts could attract high enough levels of predation to cancel out the prey dilution benefit, although experimental work is required to test this idea.

Here, we show that Heliconius butterflies do not rely on communal roosts as information-sharing centres. Instead, our field studies indicate that this behaviour confers an anti-predatory benefit at both the individual level (prey dilution) and group level (collective aposematism), and attack risks vary between individuals in different aggregation sizes. This correspondence suggests that predation may be the key selective pressure maintaining communal roosting in Heliconius, thus providing insight into the types of ecological pressures that contribute to the evolution of social behaviour in historically solitary animals.

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