Mhc supertypes confer both qualitative and quantitative resistance to avian malaria infections in a wild bird population

Irem Sepil, Shelly Lachish, Amy E. Hinks and Ben C. Sheldon

Edward Grey Institute, Department of Zoology, University of Oxford, South Parks Road, Oxford OX1 3PS, UK

Major histocompatibility complex (Mhc) genes are believed to play a key role in the genetic basis of disease control. Although numerous studies have sought links between Mhc and disease prevalence, many have ignored the ecological and epidemiological aspects of the host–parasite interaction. Consequently, interpreting associations between prevalence and Mhc has been difficult, whereas discriminating alleles for qualitative resistance, quantitative resistance and susceptibility remains challenging. Moreover, most studies to date have quantified associations between genotypes and disease status, overlooking the complex relationship between genotype and the properties of the Mhc molecule that interacts with parasites. Here, we address these problems and demonstrate avian malaria (Plasmodium) parasite species-specific associations with functional properties of Mhc molecules (Mhc supertypes) in a wild great tit (Parus major) population. We further show that correctly interpreting these associations depends crucially on understanding the spatial variation in risk of infection and the fitness effects of infection. We report that a single Mhc super-type confers qualitative resistance to Plasmodium relictum, whereas a different Mhc super-type confers quantitative resistance to Plasmodium circumflexum infections. Furthermore, we demonstrate common functional properties of Plasmodium-resistance alleles in passerine birds, suggesting this is a model system for parasite–Mhc associations in the wild.

1. Introduction

Infectious disease is a major driver of ecological and evolutionary processes within wild populations, and characterizing the genetic component of host immunity is crucial to understand the genetic basis of variation in infection and to assess the evolutionary impacts of diseases [1]. Despite recent advances in genetic technologies, detecting novel resistance loci remains difficult and our understanding of immunogenetics in wild populations is still based on a small number of genes, for example, major histocompatibility complex (Mhc) genes [2] and interleukin 2 genes [3] (though see [4–6] for new markers being developed in wildlife disease studies). Hence, further work on these candidate genes has the potential to provide valuable information to explain the variation in disease susceptibility in natural populations [7]. In particular, the critical role of Mhc genes in triggering an immune response makes them excellent candidate markers for disease resistance studies, and Mhc-dependent disease resistance has been reported across taxa in natural populations of non-model species [8–10].

Early empirical evidence of a link between specific Mhc alleles and disease resistance was provided by the classic paper of Hill et al. [11], which suggested that specific Mhc alleles conferred protection from severe malaria infections (Plasmodium spp.) among African children. Avian malaria parasites, like their human-borne counterparts, are intracellular pathogens that invade host erythrocytes in the bloodstream. Thus, it is highly likely that the Mhc class I molecules of avian hosts recognize the peptides derived from malaria parasites and initiate cell destruction. Avian malaria parasites have been shown to
compromise host fitness in both immunologically naive populations [12], as well as in species with which they are assumed to have shared a long evolutionary history [13–15], and are thus likely to exert strong selection on their hosts. These findings suggest that Mhc-linked malaria resistance should also exist in wild avian hosts, a premise supported by the results of several studies in passerine birds [16–20].

However, although significant associations between Mhc alleles and avian malaria resistance have been reported, the interpretation of these associations varied considerably in all these studies because both positive and negative associations were observed. Alleles that are negatively correlated with host infection are easily interpreted as disease-resistance alleles that provide qualitative protection against the parasite [17,19]. Positive correlations between Mhc alleles and host infection are more difficult to explain, because selection against susceptibility alleles should eliminate them from populations. Potentially, such positive associations may arise as a consequence of pleiotropy, whereby an allele that confers susceptibility to infection simultaneously confers protection to other pathogens [18]. Alternatively, they may arise as a consequence of quantitative disease-resistance alleles that limit the deleterious effects of disease without eradicating it completely. For example, in great reed warbler (Acrocephalus arundinaceus) populations, a positive relationship between a specific Mhc allele and Plasmodium infection was interpreted as quantitative resistance because parasitaemia (parasite load) was lower in individuals carrying the allele [21]. Selection might favour such alleles if individuals carrying them were better able to suppress acute infection and hence experienced improved survival relative to those without it, generating a positive relationship between presence of this allele and host infection. Another mechanism that may result in a link between Mhc genotype, and avian malaria infection is heterozygote advantage [22,23]: possessing more Mhc alleles may allow more effective parasite presentation [2,9,24]. Alternatively, maximal Mhc diversity may lead to excessive T-cell elimination during negative selection in the thymus [25,26]; hence, individuals possessing an intermediate, optimal number of Mhc alleles may have higher fitness [8,27].

Determining whether specific alleles confer susceptibility or quantitative resistance for the host is crucial for understanding the dynamics of complex host–parasite relationships. Using measures of parasitaemia as a surrogate measure of tolerance to initial infection makes a number of untested assumptions regarding the relationship of this variable to host immunocompetence. Exploring the presence of pleiotropic effects, on the other hand, is very difficult, given the need to test for and diagnose many different potential pathogens. An alternative approach to discriminate advantageous alleles from disadvantageous alleles is to investigate the fitness consequences of the parasite strain (e.g. malaria) in terms of host survival rates [28–30]. If a mortality cost for infection during the acute stage can be shown in the population, then a positive relationship between presence of this allele and host infection are more difficult to explain, because selection against susceptibility alleles should eliminate them from populations. Potentially, such positive associations may arise as a consequence of pleiotropy, whereby an allele that confers susceptibility to infection simultaneously confers protection to other pathogens [18]. Alternatively, they may arise as a consequence of quantitative disease-resistance alleles that limit the deleterious effects of disease without eradicating it completely. For example, in great reed warbler (Acrocephalus arundinaceus) populations, a positive relationship between a specific Mhc allele and Plasmodium infection was interpreted as quantitative resistance because parasitaemia (parasite load) was lower in individuals carrying the allele [21]. Selection might favour such alleles if individuals carrying them were better able to suppress acute infection and hence experienced improved survival relative to those without it, generating a positive relationship between presence of this allele and host infection. Another mechanism that may result in a link between Mhc genotype, and avian malaria infection is heterozygote advantage [22,23]: possessing more Mhc alleles may allow more effective parasite presentation [2,9,24]. Alternatively, maximal Mhc diversity may lead to excessive T-cell elimination during negative selection in the thymus [25,26]; hence, individuals possessing an intermediate, optimal number of Mhc alleles may have higher fitness [8,27].

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Difficulties in understanding the mechanisms by which Mhc alleles confer susceptibility or resistance may also stem from other factors. First, risk of infection, particularly for vector-borne diseases, varies extensively at both large and small spatial scales [34–36]. Such factors, if not controlled for, may confound or conceal relevant patterns between Mhc and disease status [7]. Moreover, the phenotypic effects of Mhc alleles are rarely taken into account [37], despite the fact that it is the properties of the antigen-binding sites (ABS) that determine the interactions between Mhc molecules and parasites. Indeed, a growing body of evidence across taxa highlights the importance of grouping Mhc alleles into supertypes (defined based on functional properties of the ABS) in order to classify functionally distinct Mhc types [38,39].

In this study, we investigate whether Mhc class I supertypes are associated with Plasmodium infection and host survival in a population of great tits infected with two divergent Plasmodium parasite species, Plasmodium relictum and Plasmodium circumfexum [40], while controlling for confounding factors, such as local risk of infection and host-related effects. Previous studies in this population on the closely related sympatric host species, blue tits (Cyanistes caeruleus), have shown that the two Plasmodium species differ substantially in their spatial distributions and impacts [15,35]. While P. circumfexum infections are associated with reduced survival, particularly during the acute stage of infection [15], P. relictum infections are linked with reproductive costs [14]. Here, we aimed to understand the role that Mhc play in determining host resistance and susceptibility to Plasmodium infections; and tested the three hypotheses that relate Mhc diversity to disease resistance: (i) the maximal diversity hypothesis; (ii) the optimal diversity hypothesis and (iii) effect of specific Mhc supertypes. Moreover, we aimed to determine whether specific Mhc supertypes confer susceptibility or quantitative resistance to Plasmodium infections.

2. Material and methods

(a) Study population and sampling

We studied the relationship between avian malaria, Mhc supertypes and fitness in 576 adult great tits from a nest-box breeding population sampled over 2 years (2008 and 2009 breeding seasons, April–June), and monitored for survival to 2011. One hundred and seventeen individuals were sampled in both years; hence, 693 samples were screened for Plasmodium infections. This population has been monitored continuously since the early 1960s and is located in Wytham Woods near Oxford, UK (51°46’N, 1°20’W). The great tit is a small, year-round resident, short-lived passerine bird species. Between 250 and 450 great tit pairs breed in the study population annually and display high breeding site fidelity following postnatal dispersal. Breeding birds were captured during the nestling phase, either within the nest-box by hand or using traps, or with mist nets in front of the nest entrance. All adults and nestlings are processed and ringed with aluminium bands for individual recognition. Blood was collected by wing or jugular venipuncture and stored in ‘SET’ lysis buffer (0.015M NaCL, 0.05M Tris, 0.001M EDTA, pH 8.0) at –80°C until DNA extraction.

(b) Screening of Plasmodium infections

Total DNA was measured using a Picogreen assay (Quant-IT Picogreen dsDNA assay kit, Invitrogen, Grand Island, NY, USA) and diluted to a concentration of 2 ng μl⁻¹ for Plasmodium quantification [35]. A quantitative-polymerase chain reaction
(qPCR)-based assay was used for measuring parasitaemia. The primers L9 (5′-AAACAATTCCTAACAATAACGC-3′) and new R (5′-ACATCCAAATCTAAATAAGCA-3′) were chosen to ensure Plasmodium-specific amplification and to differentiate the two Plasmodium species [14]. The two Plasmodium species are a clade of lineages based on sequence data from approximately 450 bp of the mitochondrial cytochrome b gene fragment [34]. To calculate the parasitaemia in each sample, standard curves were created using Plasmodium pSGS1 lineage. qPCR amplifications were run in a final volume of 25 μl. Each DNA sample was analysed three times, and Plasmodium parasitaemia was scored as the mean value. Twenty-five samples were randomly chosen, re-quantified and re-amplified to estimate the repeatability of the method. Full details regarding qPCR screening are provided in Knowles et al. [14].

(c) 454 pyrosequencing of Mhc class I genes

The Mhc characterizations and genotyping methodology used in this study has been described in Sepil et al. [41], and complete details of all molecular protocols can be found therein; brief details are presented here. Bidirectional 454 pyrosequencing was performed on 1536 great tit samples, using the 16 lanes of a Picotiter plate gasket. We used 10 forward and 10 reverse primer sets to amplify a 212–221 base pair fragment of great tit Mhc class I exon 3. Amplifications were run in a final volume of 25 μl and purified as described in Sepil et al. [41]. Samples with different primer combinations were pooled together in approximate equimolar quantities, a single pool was prepared for each lane and the pools were sent for bidirectional 454 pyrosequencing.

High numbers of artefacts can be generated during PCR and 454 pyrosequencing; therefore, we adopted a five-step variant validation procedure to distinguish real alleles from PCR/sequencing errors. From the 638 501 reads that the experiments generated, final genotypes were based on 214 357 reads. Eight hundred and fifty-seven individuals passed our reliability criteria, of which 576 were captured in an extensive sampling of the breeding population in 2008–2009 and screened for avian malaria infection and hence were used in this study. A total of 862 Mhc class I alleles (GenBank nos JQ034624–JQ035485) were detected; 755 alleles were classified as functional, and the presence of at least 16 functional loci was shown. There was clear evidence that the functional alleles were under strong balancing selection based on the detection of positively selected sites using a likelihood ratio test [41]. Lastly, functional alleles were translated into a matrix based on the physico-chemical amino acid properties of their positively selected sites [42], and the matrix was subjected to a k-means clustering algorithm [43]; the optimal number of supertypes was identified as 17. The association between specific Mhc supertypes and disease prevalence was assessed for 13 supertypes; the remaining four supertypes identified (supertypes 1, 2, 9 and 11) were removed from analyses as they were nearly fixed in the population with frequencies higher than 95 per cent [41].

(d) Measures of local infection risk

Spatial analysis was based on the geographical information system (GIS)-derived measures of tit nest-box locations [44]. Breeding tits are territorial and forage in the vicinity of their nests [45]; hence, the nest-box coordinates give an accurate representation of an individual’s location during and either side of the breeding season, when transmission is most likely [46]. Previous work on the Wytham Woods tit populations has shown that there is pronounced spatial variation in the distribution of the two Plasmodium species [34,35,47]. The local risk of infection with either P. relictum or P. circumflexum was obtained for each Mhc-typed great tit in 2008 and 2009 and calculated as the prevalence of infected great tits within a 500 m buffer (based on disease cluster distances obtained in Wood et al. [34] and Lachish et al. [47]) of the focal individuals’ nest-box. The analysis was carried out using GIS software (MAPINFO PROFESSIONAL v. 7.8, Stamford, CT, USA).

(e) Statistical analyses

Statistical associations between Mhc supertypes and the probability of infection with either P. relictum or P. circumflexum were tested using generalized linear models with binomial errors and a logit link. If 2 years’ data were available for an individual (which was the case for 20% of individuals), one was randomly excluded from the analysis, although inclusion of all the samples in the dataset did not qualitatively change the results. The probability of being infected was assessed in relation to the following covariates: the presence of each of the 13 Mhc supertypes described earlier, the total number of supertypes and alleles an individual possessed, the square of the total number of supertypes and alleles, local infection risk, year, sex, age class (categorized as 1 year of age, 2 years of age and 3 years or older) and a quadratic age term (collinearity among explanatory variables was low; see the electronic supplementary material, table S1). Supertype number, its square, allele number, its square, age class and its quadratic function were mean-centred before inclusion in the model, by subtracting the mean from each data point, to reduce the covariance between the terms.

We used Akaike’s information criterion (AIC) to determine the combination of variables that best explained the data with minimal parameters. Model selection was performed by backward stepwise elimination, and the fit of each new model was assessed by comparison of AIC values. Terms were eliminated from the model when their removal reduced AIC by at least 2 and were retained if their removal increased AIC by at least 2. Where the removal of a term resulted in a model with an approximately equal fit (i.e. a change in AIC of less than 2), the model with fewer terms was considered the most parsimonious model [48]. To confirm the validity of the minimum model, removed variables were added individually to assess any potential improvement in the model fit. Estimates of effect sizes (odds ratios) were calculated for each Mhc super type to confirm the strength of association between Mhc and probability of infection. All analyses were conducted using R v. 2.12.1 [49].

The earlier-mentioned analyses revealed a positive relationship between the presence of one Mhc super type (supertype 6) and infection with P. circumflexum (S3), suggesting that this super type confers either susceptibility or quantitative resistance to P. circumflexum infection. To differentiate between these two possibilities, we conducted further analyses to investigate whether the parasite has a mortality cost during acute stage infection and whether the super type conferred a survival advantage to its carriers (which would favour the idea that this super type confers quantitative resistance to infection).

First, as proposed by Westerdahl et al. [21], we assessed whether P. circumflexum parasitaemia varied according to the presence of the Mhc super type, using a linear mixed effects model. Again, if 2 years data were available for an individual, one was randomly excluded from the analysis. Parasitaemia was log-transformed [ln(x + 1)] to meet assumptions of constant variance and normal errors. Because parasitaemia values were calculated through comparison with standard curve values (see details above), the particular standard used was treated as a random factor to eliminate any bias arising from the use of different standards. Second, we assessed whether host survival probability varied between individuals that either possessed or did not possess supertype 6, as a function of individual infection status and the local risk of P. circumflexum infection. We reasoned that, if supertype 6 conferred quantitative resistance
to *P. circumflexum* infection, then uninfected hosts that lack the supertype would have lower survival in high-risk areas (where they are at greater risk of becoming infected) than in low-risk areas. This should not be the case for infected birds, as the majority of these individuals would already have survived the brief acute stage of infection and thus harbour only chronic infections [50]. Moreover, we wished to assess whether host survival varied as a function of the local risk of *P. circumflexum* infection, because such a relationship would support a mortality cost for this parasite in this species. We predicted that uninfected hosts would have lower survival in high-risk areas than in low-risk areas, if *P. circumflexum* infection has a mortality cost during the acute stage. The absence of a pattern for uninfected birds would either indicate no or weak survival cost of *P. circumflexum* infection or imply that some of the uninfected birds have already had and cleared infection, concealing the identification of any relevant pattern. We determined host survival by scoring whether each individual was recaptured in the subsequent breeding seasons (using data on recaptures, including 2011) or not. As annual recapture rates of great tits in the breeding season are high in Wytham Woods (higher than 0.80 [51]), this provides a reasonably robust index of survival rates. Analyses were performed using a generalized linear model with binomial errors and a logit link, and the starting model included the three variables (presence of supertype 6, infection status and local risk of *P. circumflexum* infection) and their interaction. Third, we assessed whether the frequency of the *Mhc* supertype varied between first year and older individuals as a function of the local risk of *P. circumflexum* infection. We predicted that the frequency of supertype 6 should be higher in older individuals, particularly in areas with elevated risk of infection, as a result of the selective disappearance of individuals that lack this supertype. We separated high- and low-infection-risk areas by scoring whether an individual's nest-box was located within 500 m of the River Thames or not; *P. circumflexum* infection risk is substantially elevated in areas within 500 m of this large water body [34,35,47]. We assessed whether the frequency of the *Mhc* supertype varied as a function of host age (categorized as first year and older), and *P. circumflexum* infection risk, using a generalized linear model with binomial errors and a logit link, with both terms and their interaction included in the starting model. Calculating local infection risk as the percentage of infected great tits within a 500 m buffer, rather than using an arbitrary-cut-of-point, did not quantitatively change the results of the analysis (results not shown). Lastly, we explored the effects of supertype/allelic diversity, *Mhc* supertype 6 and their interaction on *P. circumflexum* prevalence. We predicted that if *Mhc* supertype 6 conferred susceptibility, individuals with lower supertype or allelic diversity would be more prone to *P. circumflexum* infection as a result of homozygosity (as in Worley et al. [30]). Great tit *Mhc* class 1, *Plasmodium* infection and survival data files are included in the electronic supplementary material.

In a final separate analysis, we explored whether the associations we found between the two *Mhc* supertypes and the probability of *Plasmodium* infections (§3) were comparable to the findings of earlier studies. Of five studies investigating a link between passerine *Mhc* class 1 and *Plasmodium* infection, only two studies, both on house sparrows (*Passer domesticus*), presented sequence information for *Mhc* alleles identified as being linked to *P. relictum* infection (GenBank nos EU715815–EU715817 and EF429132; [18,19]). The similarity of these house sparrow alleles to the *Mhc* supertypes examined in this study at their putative ABS (to infer functional similarity) was assessed by combining these additional four alleles with our 755 functional class I alleles and re-running the *k*-means clustering algorithm [41]. Hence, in this analysis, we aimed to determine whether *Mhc* alleles that are associated with avian malaria in house sparrows cluster, in terms of their functional properties, with alleles of the two *Mhc* supertypes linked with *Plasmodium* infection in great tits.

### 3. Results

Overall 55 per cent of individuals were infected with *Plasmodium*; *P. circumflexum* prevalence (37.8%) was significantly higher than *P. relictum* prevalence (19.8%; $\chi^2 = 44.89$, $p < 0.0001$). Fifteen individuals (2.7%) were co-infected with the two *Plasmodium* species and were included in both sets of analyses. Parasitaemia of infected individuals did not differ between the two *Plasmodium* species ($\chi^2 = 1.76$, $p = 0.184$, $\Delta$AIC = −0.3); the repeatability of the parasitaemia data was 0.779 (Pearson’s product–moment correlation, $p < 0.001$), similar to previous estimates of repeatability from blue tits ($r = 0.71$) [14].

Our analyses revealed there to be significant associations between two different *Mhc* supertypes and the probability of infection with *P. relictum* and *P. circumflexum*. The probability of *P. relictum* infection was negatively associated with the presence of supertype 17 (see figure 1a and the electronic supplementary material, table S2), such that individuals lacking the supertype were twice as likely as individuals carrying it to become infected with *P. relictum* (odds ratio: 0.51,
As in the analysis mentioned earlier, the probability of *Plasmodium circumflexum* infection did not vary between the sexes, nor between the years of the study (see the electronic supplementary material, table S3). The probability of *Plasmodium relictum* infection increased significantly with age and local infection risk, but did not vary between the sexes or the year of the study (see the electronic supplementary material, table S2).

Contrary to our predictions, *Plasmodium circumflexum* infection did not vary significantly with *Mhc* carrying or total number of alleles an individual possessed (either linearly or as a quadratic function; electronic supplementary material, table S2 and figure S1), indicative of qualitative resistance. No other supertype was significantly associated with probability of *Plasmodium relictum* infection; nor was *Plasmodium relictum* infection related to the total number of supertypes or total number of alleles an individual possessed (either linearly or as a quadratic function; electronic supplementary material, table S2 and figure S1). The probability of *Plasmodium relictum* infection increased significantly with age and local infection risk, but did not vary between the sexes or the year of the study (see the electronic supplementary material, table S2).

By contrast, results of the generalized linear model for *Plasmodium circumflexum* infections in hosts revealed, there was a significant positive association between the probability of *Plasmodium circumflexum* infection and the presence of supertype 6 (see figure 1b and the electronic supplementary material, table S3). Individuals carrying the supertype were 58 per cent more likely to be infected with *Plasmodium circumflexum* (odds ratio: 1.58, 95% CI: 1.11–2.27, *p* = 0.009; electronic supplementary material, figure S2) than were the individuals that did not possess this *Mhc* supertype. No other supertype was significantly associated with *Plasmodium circumflexum* infection, nor was there any significant relationship between the total number of supertypes or total number of alleles an individual possessed (either linearly or as a quadratic function) and its infection status (see the electronic supplementary material, table S3 and figure S2). As in the *Plasmodium relictum* analysis mentioned earlier, the probability of *Plasmodium circumflexum* infection did not vary between the sexes, nor between the years of the study, but did vary significantly with *Plasmodium circumflexum* infection risk and host age, with older individuals more likely to be infected (see the electronic supplementary material, table S3).

The earlier-mentioned analyses showed that individuals carrying *Mhc* supertype 6 were more likely to be infected with *Plasmodium circumflexum*, suggesting that supertype 6 may confer either susceptibility or quantitative resistance to *Plasmodium circumflexum* infection. Contrary to our predictions, *Plasmodium circumflexum* parasites did not vary between individuals that did or did not possess supertype 6 (\( \chi^2 = 0.22, p = 0.641, \Delta \text{AIC} = -1.78 \)), nor did survival probabilities vary between individuals with and without supertype 6 (see the electronic supplementary material, table S4). Moreover, we found no significant interaction between the presence of supertype 6 and supertype/allelic diversity in terms of *Plasmodium circumflexum* infection (supertype 6 × supertype diversity, \( \chi^2 = 0.72, p = 0.4, \Delta \text{AIC} = -1.28 \); supertype 6 × allelic diversity, \( \chi^2 = 0.43, p = 0.51, \Delta \text{AIC} = -1.57 \)). However, our results showed that uninfected, but not infected, hosts experienced lower survival rates in high-infection-risk areas than in low-risk areas, indicating that this pathogen entails a mortality cost for hosts during the acute stage of infection (see figure 2 and the electronic supplementary material, table S4). In addition, as predicted, our analyses revealed that the frequency of supertype 6 varied between first year and older individuals as a function of *Plasmodium circumflexum* infection risk (local infection risk × host age, \( \chi^2 = 4.55, p = 0.033, \Delta \text{AIC} = +2.55 \)). The frequency of supertype 6 increased with age in high-risk areas, suggesting that individuals possessing this supertype are better able survive the lethal acute stage of infection, and that selection progressively increases the frequency of this supertype (figure 3). As none of these findings lends support for the susceptibility hypothesis, the evidence favours quantitative resistance.

Finally, we assessed the functional similarity of the *Mhc* class I alleles associated with *Plasmodium* prevalence in passerines by running k-means clustering algorithm on the
combination of four house sparrow Mhc alleles and 755 great tit Mhc alleles. Two of the house sparrow alleles (EU715815 and EF429132) clustered with 18 great tit alleles, of which 14 were originally designated supertype 17, whereas a third house sparrow allele (EU715816) clustered with 31 great tit alleles, of which 18 were supertype 6 in our original clustering (see the electronic supplementary material, figure S3). The fourth allele (EU715817) clustered with four great tit alleles that were originally designated supertype 4 (see the electronic supplementary material, figure S3). Hence, three of the four alleles identified as being associated with malaria in another bird species cluster statistically, in terms of their functional properties, with those identified as being malaria-associated in this study.

4. Discussion

Investigating associations between Mhc and parasite prevalence is a common means of studying genetically determined disease resistance in wild animals. Until recently, positive associations between Mhc alleles and parasite prevalence had been taken as evidence of susceptibility to disease, while the potential for quantitative resistance (immuno-alleles that reduce the development of infection) has been largely neglected (see [21]). In this study, we incorporated a detailed investigation of avian malaria infection and analysis of Mhc class I genes in a wild great tit population, to understand the role that Mhc genes play in determining host resistance and susceptibility to Plasmodium infections. We found that the presence of two Mhc supertypes (defined based on functional properties of the ABS) was significantly associated with the probability of host infection with two congeneric Plasmodium species, but in contrasting manners. The direction of the association for one Mhc supertype was indicative of Mhc-linked qualitative resistance to P. relictum infection, with individuals lacking that supertype twice as likely to be infected. However, the functional role of the second Mhc supertype, in regard to P. circumflexum infection, was more difficult to assess. Of the four hypotheses we tested, two analyses supported quantitative resistance, whereas two analyses provided equivocal results. Therefore, our results more strongly support the idea that supertype 6 may confer quantitative resistance to P. circumflexum infection. Hence, the findings of this study imply that different Mhc supertypes can confer both qualitative and quantitative resistance to different Plasmodium species in a single host population.

Different types of associations between immuno-alleles and the probability of infection are common for different levels of infection severity [21]. Virulent parasites are likely to induce mortality during the acute stage of infection and are difficult to suppress completely [52]. Hence, alleles that confer quantitative resistance (i.e. that limit the deleterious effects of infection but do not prevent infection) would be beneficial to hosts that are primarily exposed to virulent parasites. Conversely, qualitative resistance alleles that prevent parasite establishment (i.e. prevent infection) would be beneficial to hosts that are exposed to more benign parasites, as these parasites are unlikely to cause mortality and are easier to suppress [53]. Using a multi-state modelling framework, Lachish et al. [15] showed that infection with P. circumflexum was associated with reduced survival in blue tits, compared with infection with P. relictum, suggesting that P. circumflexum is more virulent than P. relictum. In line with this finding, we found that uninfected great tits experienced lower survival rates in areas where P. circumflexum infection risk is high, suggesting a mortality cost for this parasite in great tits as well. Our results showing Mhc-linked qualitative resistance to P. relictum infection by great tits carrying supertype 17, and the possibility of Mhc-linked quantitative resistance to P. circumflexum infection for individuals carrying supertype 6, are thus in agreement with the above rationale and may be explained by the differing virulence of these two parasites.

Consequently, we investigated whether uninfected hosts lacking supertype 6 had lower survival rates in high-risk areas, but found no such relationship. Although we would expect supertype 6 to confer a survival advantage for its carriers, the highest mortality from infection is likely to occur when birds are first exposed to the parasite, as juveniles [15]. Hence, the absence of an association between supertype 6 and survival rates of adults in this study is perhaps not unexpected. Moreover, recent analyses have shown that individuals possessing supertype 6 had significantly higher lifetime reproductive success (defined as the total number of recruits produced over a lifetime) and offspring recruitment probabilities at the brood level, implying a survival advantage for juveniles carrying this Mhc supertype 6 [32]. This finding supports the suggestion that supertype 6 confers quantitative resistance to P. circumflexum infection. In this study, we showed that the frequency of supertype 6 increased with age in high-infection-risk areas, further supporting the idea that supertype 6 confers selective advantage for individuals that are likely to contract the disease. The negative association found between supertype 6 frequency and host age in low-risk areas (figure 3) might indicate that there is a cost associated with carrying a disease-resistance supertype [54]. If this is true, then the absence of supertype 6 might be more beneficial for great tits breeding in areas where the vector transmitting P. circumflexum is absent. Hence, it seems possible that the selective advantage of this supertype depends on local infection risk and there might be differential selection for the supertype at this small spatial scale.

The results of this study lend support to hypotheses suggesting a fitness advantage for carriers of specific Mhc types. Therefore, it is plausible to suggest that the extraordinary level of Mhc diversity observed in this population might be maintained through the mechanisms of negative-frequency-dependent selection [55], fluctuating selection, [56] or a combination of the two mechanisms. We found no support for hypotheses that link maximal or optimal Mhc diversity with individual fitness [20,27]; neither the number of supertypes, nor the number of alleles had an effect on Plasmodium infection. However, it is worth noting that we were not able to test heterozygote advantage at a particular locus [22], because multiple loci (at least 16 loci) were analysed simultaneously. Therefore, we cannot discount the possibility that associations between disease prevalence and heterozygosity at certain loci might have been overlooked.

In this study, we used a ‘supertyping’ approach to determine the functional properties of the Mhc alleles. To date, the majority of work has assessed links between Mhc genotype and disease resistance, however, much of selection on Mhc is likely to act via the phenotypic effects of the underlying genes. Here, we adopted the bioinformatic and statistical methods described by Doytchinova & Flower [42] and Jombart et al. [43] to define the physico-chemical properties of the positively selected sites of each allele and to cluster Mhc alleles with similar peptide specificities into supertypes.
Therefore, by analysing the relationship between Mhc supertypes and Plasmodium infection, we aimed to predict the functional effects of Mhc supertypes. There is increasing support for the biological relevance of the supertyping approach [57,58]. For instance, Trachtenberg et al. [38] revealed an advantage of rare human leucocyte antigen supertypes in human immunodeficiency virus disease progression, independent of the contribution of single alleles. Therefore, we believe this approach is both appropriate and justified. Here, we used a newly proposed method for Mhc genotyping [43], which is an advance on previous methods, as it does not require arbitrary clustering decisions, but uses k-means clustering algorithm and model selection approach to compute-associated summary statistics [41]. The supertype clusters changed significantly following the addition of the four house sparrow alleles. The house sparrow alleles differed substantially from the great tit alleles and included amino acids not found among the great tit variants. Hence, the apparent instability of the clusters is probably an outcome of this difference. Nevertheless, the methodology can be further developed to improve the precision of identifying distinct clusters; experimental approaches can be used instead of bioinformatics approaches to determine the peptide specificities of Mhc molecules [59]. Moreover, it is worth noting that our amplifications did not cover a polymorphic section of Mhc exon 3 that affects the antigen-binding capabilities of the alleles. Amplifying the entire exon would be beneficial for future studies [60].

An important and novel finding from this study is that the alleles that are linked with Plasmodium infections in two passerine species (great tits and house sparrows) have similar antigen-binding affinities. Although population-specific associations between Mhc alleles and Plasmodium species have been reported in house sparrow populations [17,19], the functional differences between these alleles were not assessed, and our results imply that different alleles linked with disease may be similar at their ABS even in unrelated host species. The functional similarity across species suggests that Mhc-linked malaria resistance can be a valuable area for further research to understand the genetic basis of variation in infection in wild systems. However, as this study shows, such work must be embedded in an understanding of the ecological and epidemiological processes affecting the host–parasite interaction.

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