Variation in the link between oxygen consumption and ATP production, and its relevance for animal performance

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It is often assumed that an animal’s metabolic rate can be estimated through measuring the whole-organism oxygen consumption rate. However, oxygen consumption alone is unlikely to be a sufficient marker of energy metabolism in many situations. This is due to the inherent variability in the link between oxidation and phosphorylation; that is, the amount of adenosine triphosphate (ATP) generated per molecule of oxygen consumed by mitochondria (P/O ratio). In this article, we describe how the P/O ratio can vary within and among individuals, and in response to a number of environmental parameters, including diet and temperature. As the P/O ratio affects the efficiency of cellular energy production, its variability may have significant consequences for animal performance, such as growth rate and reproductive output. We explore the adaptive significance of such variability and hypothesize that while a reduction in the P/O ratio is energetically costly, it may be associated with advantages in terms of somatic maintenance through reduced production of reactive oxygen species. Finally, we discuss how considering variation in mitochondrial efficiency, together with whole-organism oxygen consumption, can permit a better understanding of the relationship between energy metabolism and life history for studies in evolutionary ecology.

1. Introduction

Scientists have long acknowledged the importance of estimating an animal’s metabolic rate, given its potential impact on the rate of resource uptake from the environment and allocation of those resources [1–4]. Traditionally, investigators have tended to use whole-animal measures of oxygen consumption as a proxy for the rate of metabolism [1]. Studies across a broad range of taxa have shown that intraspecific variation in the rate of oxygen consumption is related to performance across a diverse range of traits including work output [5,6], growth rate [7], degree of aggressiveness [8], feeding rate [9], lactation capacity [10] and lifespan [11–13]. However, the association between oxygen consumption and performance is not straightforward, with studies reporting positive, negative or no relationship, depending on the species and trait investigated (reviewed in [14]). While these inconsistent findings are triggering extensive debates centred on theoretical and methodological issues [11,14–21], what is often overlooked is that whole-organism oxygen consumption may be only a partial proxy for energy metabolism.

The main issue here is that oxygen consumption itself, whether measured on a whole-organism or tissue-specific level, is not a true measure of adenosine triphosphate (ATP) production, since the amount of ATP generated per unit of oxygen consumed can vary significantly [22]. Evidence for this variability has become...
The rate of oxygen consumption. However, what assessments of metabolism are measured by quantifying the rate of oxygen consumption when investigating the link between energy metabolism and animal performance. We will first discuss potential limitations of employing measures of whole-animal oxygen consumption by itself to investigate energy metabolism. Second, we present empirical evidence that environmental factors can induce variation in mitochondrial efficiency and that this natural variation can have significant consequences for animal performance. We then consider why natural selection has not actually maximized mitochondrial efficiency, centring our explanation on another aspect of mitochondria: the generation of reactive oxygen species (ROS). Finally, we identify potential research questions that we feel would benefit from combined measures of mitochondrial efficiency and whole-animal oxygen consumption rates. Current approaches are capable of providing a relevant indication of in vivo mitochondrial functioning, and recent technological developments have made such measurements feasible for a broad taxonomic range of organisms. While oxygen sensors have existed for decades, only recently has their resolution become sensitive enough to allow measurement of mitochondrial functioning from small tissue samples (50–100 mg, depending on tissue type and species), and from species with a low mitochondrial density and activity [29,30].

2. What the rate of oxygen consumption does and does not tell us

There are a number of different definitions of metabolism [14,20], but in almost all cases the metabolism is measured by quantifying the rate of oxygen consumption. However, what assessments of oxygen consumption are expected to reveal with regard to energy metabolism is rarely specified. The broad concept of metabolism includes all anabolic and catabolic reactions within an organism, and consequently covers all processes associated with obtaining, assimilating, transforming and allocating resources. The term ‘oxygen consumption rate’ is usually presumed to be related to any, if not all, of these processes.

Energy derived from nutrients (carbohydrates, lipids, proteins) becomes usable only after being transformed into high-energy phosphate bonds in molecules of ATP. ATP is the principal energy source for most cellular functions, such as DNA, RNA and protein synthesis, cell division, signalling transduction pathways, muscle contractile activities, and active transport across the cell membrane [39]. The main sites of energy transformation are the mitochondria, which provide over 90% of cellular ATP [40]. The majority of ATP is produced via oxidative phosphorylation, a process through which substrate molecules are first oxidized by the tricarboxylic acid cycle to produce the reducing cofactors NADH and FADH2. These reducing agents pass electrons through a set of protein complexes (the electron transport chain—ETC) situated within the inner mitochondrial membrane and then to the final acceptor, oxygen. The electron flow through the ETC allows the proton (H+) pumps to expel H+ from the matrix to the mitochondrial inter-membrane space. The accumulation of H+ within this inter-membrane space generates an electrical (ΔΨm) and chemical (ΔpH) gradient, the electrochemical potential (Δp), that is required by the protein complex ATP synthase to drive the phosphorylation of ADP to ATP [41].

Although ATP production depends on the rate of oxidation, the number of ATP molecules produced for each oxygen atom consumed by the mitochondria (termed the P/O ratio) can vary [22]. One factor underlying this variation is that the amount of H+ pumped into the inter-membrane space per unit of oxygen consumed by the ETC (the H+/O ratio) is substrate-specific. For example, mitochondria oxidizing succinate exhibit an H+/O ratio of 6, whereas this ratio is 10 when the substrate is pyruvate/malate [22]. Despite well-established methods to determine which metabolic substrate is being oxidized (box 2), and direct evidence of variation in H+/O ratio due to substrate type [22,42], most studies of energy metabolism do not take into account this effect of substrate type on the efficiency of ATP production. A second potential mechanism altering the P/O ratio is slippage of the proton pumps. This slippage results in either fewer protons being pumped into the inter-membrane space per electron transferred by the ETC, or fewer ATP molecules produced per proton passing back through ATP synthase.
Box 2. Identifying the energy substrate used by the mitochondria.

The nature of the metabolic substrate oxidized by the mitochondria affects not only the P/O ratio but also the ratio of carbon dioxide produced to oxygen consumed, also called the respiratory quotient (RQ) [39]. An advantage of the RQ is that it can be measured in vivo; it may be used to infer the relative contributions of carbohydrate and fat as substrates of the aerobic metabolism, where RQ = 1.0 indicates exclusively carbohydrate and RQ = 0.71 indicates exclusively fat [22,39]. All else being equal, the difference in P/O ratio between carbohydrate and fat oxidation leads to the calculation that, for a given oxygen consumption rate, the production of ATP should be 15% lower when oxidizing carbohydrate compared with fat [42]. Intermediate values are more difficult to interpret since these imply the utilization of combinations of substrates, including protein in some cases; the use of protein can be evaluated by measuring rates of nitrogen excretion, so potentially allowing calculation of the relative use of fat and carbohydrate substrates [39].

[22,43–45]. However, while such slip reactions can occur under certain conditions in vitro, their importance under physiological conditions remains uncertain [22].

A third cause of variation in the P/O ratio, which has received much more attention (so will be the major focus of this article), is caused by a dissipation of Δp across the inner mitochondrial membrane. The dissipation of Δp is associated with an increase in heat production [46]. Several distinct biological mechanisms can alter Δp and hence affect the P/O ratio. The composition of the mitochondrial inner membrane, both in terms of its phospholipid fatty acids and the occurrence and activity of mitochondrial carrier proteins such as uncoupling proteins (UCP) or adenine nucleotide transporters (ANT), can influence the mitochondrial membrane conductance of protons and in turn the Δp [46–49]. The Δp is also likely to be affected by the active transport of cations (e.g. Ca^{2+}), anions (e.g. ADP^{3−} and ATP^{4−}) and metabolites (e.g. aspartate and glutamate) across the inner membrane [45,50]. Variation in P/O ratio has been discussed mostly in terms of proton leakage across the membrane, probably because it is the major contributor to the drop of Δp (and consequent increase in oxygen consumption) that is independent of ATP generation [43,51,52]. For instance, the futile cycle of H^{+} pumping and H^{+} leakage within rat liver and muscle mitochondria is estimated to account for approximately 20% of whole-animal oxygen consumption [53]. Thus, a significant proportion of the oxygen that an organism, a tissue or a mitochondrion consumes may not result in ATP production.

The degree of coupling of energy derived from oxidation to the generation of ATP varies across different tissues, individuals, environment and species, and over the lifetime of an individual [49,53–56], leading to variation in the efficiency of ATP production. Whole-organism oxygen consumption measures only the rate of substrate oxidation, and consequently does not distinguish between the energy used to produce ATP and energy dissipated through H^{+} leakage. Consequently, it cannot be used as an accurate proxy for ATP production when variation in the P/O ratio occurs. In this review, we examine this idea and discuss the importance of variation in P/O ratio in the context of evolutionary ecology. There has recently been an increase in empirical support for the concept that variation in the P/O ratio may be in response to ecological parameters (see §3) and have implications for animal performance (see §4). Two main approaches for estimating the P/O ratio are used, although other methods exist [50,57]. The first of these determines the mitochondrial oxygen consumption associated with the disappearance of a known amount of ADP (presumed converted to ATP). The second approach, developed by Lemasters [58], measures ATP production directly, so allowing calculation of the ATP:O ratio. These ratios, although not equal in terms of relevance and applicability, allow useful inferences to be made about the variation in P/O ratio.

3. Environmental causes of variation in the P/O ratio

There is clear evidence that environmental conditions can affect the efficiency of ATP production, potentially contributing to variability in performance over the lifetime of an individual. One of the most pervasive factors affecting the energy metabolism of organisms is the ambient temperature of the environment. Not surprisingly, the effect of temperature on mitochondria differs between poikilothermic ectotherms and endotherms. In poikilothermic ectotherms, increasing ambient temperature directly enhances the rate of biochemical reactions and thereby stimulates whole-organism oxygen consumption, but only up to a point beyond which reactions decrease as a result of damage. However, ambient temperature can also affect the efficiency of ATP production, presumably due to an increase in H^{+} conductance of the inner membrane with increasing temperature. This results in a greater proportion of H^{+} being shunted away from ATP synthase, thereby reducing the P/O ratio [59,60]. Temperature effects on the P/O ratio may therefore contribute to the non-proportional relationship between ATP production and the rate of oxygen consumption in poikilothermic ectotherms. The P/O ratio in endotherms is less directly affected by the ambient thermal regime, except when chronically exposed to cold temperatures [46,61,62]. In mammalian brown adipose tissue, prolonged cold exposure induces a severe reduction in mitochondrial coupling as a result of activation of uncoupling protein UCP1, and this mechanism clearly contributes to thermogenesis [46]. However, while acclimation to cold temperatures increases the rate of oxygen consumption in both mammals and birds, its effect on the efficiency of energy transduction appears to be taxon-specific: activation of UCPs has no effect on the coupling of ATP production to oxygen consumption in avian skeletal muscle [61]. The taxon-specific effect of cold acclimation on mitochondrial efficiency may be due to differences in the mechanism that is responsible for this acclimation, since, in birds, ANT rather than UCP is believed to be the principal mitochondrial uncoupler [62].

Food intake can also affect the efficiency of ATP production. Individuals with reduced food intake tend to exhibit an increase in the P/O ratio (e.g. by an average of 15% in fasting king penguins Aptenodytes patagonicus [35]). This is thought to
be beneficial since an increase in P/O ratio minimizes the cost of ATP synthesis, thereby reducing energy substrate requirements [63]. Such plasticity may confer a physiological advantage by helping animals cope with periodic decreases in food intake [35]. It should be noted that this change in P/O ratio affects calculations of the metabolism of food-deprived animals, if these calculations are based on measures of oxygen consumption. For example, king penguins reduced their rate of oxygen consumption by 30% when fasting [35,64], but the reduction in ATP synthesis was much smaller than this would imply due to the associated 15% increase in P/O ratio [35].

Diet composition can also have an indirect effect on the P/O ratio (in addition to any direct effect of energy substrate on the ETC mentioned in §2), since it can affect the phospholipid properties of the inner mitochondrial membrane. For instance, the mitochondria of mice consuming a diet enriched in highly unsaturated fatty acids exhibited enhanced permeability to protons, greater mitochondrial proton leak and a decrease in the $\Delta \Psi_m$ [65], which would have altered the P/O ratio [66].

### Table 1. Examples of the relationship between mitochondrial coupling efficiency (P/O ratio: high values indicate that relatively little oxygen is required to produce a given amount of ATP) and animal performance indicators such as growth ($G$), reproduction ($R$) and somatic maintenance and lifespan ($M$) among conspecifics. ‘Experimental’ indicates whether the P/O ratio was manipulated (i.e. by use of uncoupling agents), so providing stronger evidence of a causal relationship than a simple correlation. ‘Assumed’ indicates that P/O was not measured but was assumed to have been decreased by use of an uncoupling agent.

<table>
<thead>
<tr>
<th>species</th>
<th>experimental</th>
<th>tissue</th>
<th>P/O ratio</th>
<th>higher P/O ratio correlated with</th>
<th>refs</th>
</tr>
</thead>
<tbody>
<tr>
<td>yeast</td>
<td>yes</td>
<td></td>
<td>assumed</td>
<td>$M$: greater replicative lifespan; higher hydrogen peroxide production</td>
<td>[67]</td>
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<tr>
<td>(Saccharomyces cerevisiae)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>fruitfly</td>
<td>yes</td>
<td></td>
<td>assumed</td>
<td>$M$: shorter lifespan; better viability when deprived of food</td>
<td>[68]</td>
</tr>
<tr>
<td>(Drosophila melanogaster)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>common frog tadpole</td>
<td>yes</td>
<td>whole organism</td>
<td>ATP : 0</td>
<td>$G$: higher growth rate</td>
<td>[36]</td>
</tr>
<tr>
<td>(Rana temporaria)</td>
<td></td>
<td></td>
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<tr>
<td>common frog</td>
<td>no</td>
<td>liver</td>
<td>ATP : 0</td>
<td>$G$: larger adult body size</td>
<td>[33]</td>
</tr>
<tr>
<td>(Rana temporaria)</td>
<td></td>
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<tr>
<td>garter snake</td>
<td>no</td>
<td>liver</td>
<td>ATP : 0</td>
<td>$G$: smaller adult body size</td>
<td>[34]</td>
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<tr>
<td>(Thamnophis elegans)</td>
<td></td>
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</tr>
<tr>
<td>broiler chicken</td>
<td>no</td>
<td>duodenum</td>
<td>ADP : 0</td>
<td>$G$: lower weight gain per food ingested</td>
<td>[69,70]</td>
</tr>
<tr>
<td>(Gallus gallus domesticus)</td>
<td></td>
<td></td>
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<tr>
<td>broiler chicken</td>
<td>no</td>
<td>skeletal muscle</td>
<td>ADP : 0</td>
<td>$G$: higher growth rate</td>
<td>[71]</td>
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<tr>
<td>(Gallus gallus)</td>
<td></td>
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<tr>
<td>zebra finch</td>
<td>yes</td>
<td></td>
<td>assumed</td>
<td>$R$: greater egg production</td>
<td>[37]</td>
</tr>
<tr>
<td>(Taeniopygia guttata)</td>
<td></td>
<td></td>
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<tr>
<td>Swiss mice</td>
<td>yes</td>
<td></td>
<td>assumed</td>
<td>$G$: higher weight gain per food ingested; higher body mass</td>
<td>[72]</td>
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<tr>
<td>(Mus musculus)</td>
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4. Consequences of the P/O ratio for animal performance

The P/O ratio can affect performance in a range of whole-organism traits (table 1). One of the most widely documented effects of the P/O ratio is on the rate of growth. The synthesis of new tissue is energetically costly, so investment in growth must depend on the capacity to generate ATP. The remarkable natural variation between individuals in their growth efficiency has been found to be related to mitochondrial functioning, largely as a result of research undertaken by animal production scientists investigating why some individuals grow faster than others on the same food ration [31]. Studies designed to explore the physiological basis for differences in growth efficiency in poultry show that individuals with higher growth efficiencies exhibit lower UCP expression in their muscle mitochondria [71,73] and reduced proton leak, resulting in a higher P/O ratio [71]. A similar relationship has also been reported in the common frog *Rana temporaria*, where individuals from natural populations characterized
by larger average body size had a higher P/O ratio [33]. Surprisingly, the opposite trend was found in wild populations of garter snakes *Thamnophis elegans* [34].

The interpretation of results derived from correlative approaches is limited since any relationship between the P/O ratio and performance cannot be presumed causal. Recently, researchers have sought to manipulate the level of the P/O ratio in order to more directly test the effects of mitochondrial efficiency on whole animal traits [36,37,67,68]. An experimental decrease in the P/O ratio can be induced by chronically exposing the animal to an uncoupling agent such as 2,4-dinitrophenol (DNP), which acts, analogous to endogenous UCPs, to increase the rate of \(H^+\) translocation across the inner mitochondrial membrane. DNP exposure results in a decrease in the P/O ratio and a simultaneous increase in oxygen consumption [36,48,74]. Chronic exposure to DNP has revealed interesting effects of the P/O ratio on animal performance across a range of taxa (table 1). For example, rats *Rattus norvegicus* exposed to DNP had reduced exercise capacity [75], while mice exhibited a lower growth rate for a given food intake [72]. Common frogs exposed to DNP during the tadpole stage had a higher rate of oxygen consumption but grew more slowly than controls; they did not increase their food consumption and so were unable to compensate for the energy loss elicited by the decreased efficiency of ATP production [36]. However, it should be noted that DNP-treated zebra finches *Taeniopygia guttata* compensated for their mitochondrial inefficiency by increasing their food intake, and so maintained a body mass and rate of growth equivalent to that of controls [37].

Variation in the P/O ratio can also influence reproductive output. DNP-treated zebra finches laid fewer eggs, despite having a higher food consumption than controls [37]. By contrast, the artificial induction of mild uncoupling in yeast *Saccharomyces cerevisiae* led to an increased reproductive output, as a result of a greater replicative lifespan (see the discussion below as to why lower P/O ratio may increase lifespan [67]). However, there are presently too few studies to say definitively whether such divergent effects of uncoupling on performance are taxon-specific responses, or are due to differences in the degree of uncoupling, which is not always directly quantified and is rarely screened within a single study (but see [76]).

### 5. Why has selection not eradicated inefficient mitochondria?

Given the pervasive viewpoint that limited resources constrain individual investment across competing life-history traits [77], and that this resource limitation should apply as much to ATP as to the substrates used to produce it, one would expect a strong evolutionary selection pressure to maximize the P/O ratio in order to make the greatest use of the resources available. So why does variation in the P/O ratio persist? Perhaps the main reason for a submaximal P/O ratio is that ATP production must trade off against ROS generation. ROS are highly reactive molecules that have many beneficial properties, but also have the potential to induce cellular damage to lipids, proteins and nucleic acids if they escape neutralization by a suite of endogenous and exogenous antioxidants [78]. ROS are an inevitable consequence of the flow of electrons through the mitochondrial ETC [79], and their rate of generation is positively related to \(\Delta p\) [23,81]. Therefore, a decrease in \(\Delta V_m\) as a result of \(H^+\) leakage, for instance, while reducing the efficiency of ATP production, also decreases ROS production [23,81]. Experimental increases in \(H^+\) conductance (through DNP) can simultaneously reduce both the P/O ratio and oxidative damage [36,72] (but see also [37]). Since oxidative damage has been proposed as an important factor underlying both cellular and whole-organism senescence [78,82] (but see also [83]), a reduction in the P/O ratio could potentially be associated with a slower rate of ageing through its associated reduction in ROS production (the ‘uncoupling to survive’ hypothesis [23,84–86]). Lifespan has indeed been shown to be greater in individuals that have either a naturally lower \(\Delta p\) [13] or a higher \(H^+\) conductance as a result of exposure to uncoupling agents [67,68,72]. However, to date there is no direct in vivo evidence to show that a longer lifespan associated with a reduced P/O ratio is also associated with a reduction in ROS production.

The consequences of the P/O ratio for animal performance depend crucially on the extent to which changes in mitochondrial functioning affect the relative production of ATP versus ROS. \(\Delta p\) is the main mediator between the rate of respiration, ATP and ROS generation [41,45,80]. In vitro experiments show that when ATP synthesis is artificially inhibited, \(\Delta V_m\) increases (e.g. above 140 mV) and the rate of mitochondrial respiration drops to a low level; the consequent slowed rate of electron flow leads to the ETC becoming highly reduced, which promotes ROS production [45,87]. The low rate of mitochondrial respiration also leads to a build-up in the partial pressure of oxygen, which also contributes to an increase in ROS production. Conversely, an increase in ATP synthesis causes a drop in \(\Delta V_m\) (e.g. below 120 mV), thus accelerating the respiration rate and electron flow through the ETC [45,87]. This results in a decrease in the reduced state of the ETC and in oxygen partial pressure, both of which cause ROS production to drop to levels that are barely detectable [87] (but see also [88]). Thus, both ROS production and the efficiency of ATP production may be decreased by a slight drop in \(\Delta p\) [22,23]. However, the two phenomena occur at different \(\Delta p\) levels, and therefore the relationship between them is unlikely to be straightforward. Until recently, these processes could only be measured in vitro, resulting in an artificial alteration of \(\Delta p\) by modulating inhibitor and substrate supply. However, recent technological advances now allow researchers to simultaneously measure the rates of oxygen consumption and ATP production in vivo [28,57,86]. These studies have confirmed the in vitro finding that an experimental increase in \(H^+\) conductance results in lower ATP generation [74]. However, the recent development of more sophisticated and less cytotoxic uncoupling agents [89] and chemical probes to assess ROS generation in vivo [90] should help investigate the relationship between ATP and ROS generation.

### 6. Conclusion and directions for future research

We contend that the lack of appreciation for the variability in mitochondrial efficiency could lead to misleading interpretations of the relationships between oxygen consumption and animal performance, since the amount of ATP generated per molecule of oxygen consumed can vary significantly both among and within individuals. Combining sub-cellular and whole-organism measurements of metabolism will provide a more robust framework for understanding organismal
energy metabolism. For example, a high P/O ratio does not necessarily result in high ATP production since this ratio can also be offset by a decrease in oxygen consumption rate (e.g. [36]); nor is it the case that individuals with a relatively low P/O ratio are necessarily producing less ATP than those with a higher P/O ratio, since this will depend on the rate of work of their mitochondria. Therefore, measuring both levels of energetic processes may give a better insight into the energy metabolism, since the rate of ATP generation is dependent on both the rate of oxygen consumption and the efficiency with which that consumed oxygen is used to make ATP.

The biological relevance of the P/O ratio for animal performance has often been evaluated using only a single tissue [33–35,37,61]. However, mitochondrial functioning, and in turn P/O ratio, may differ significantly among tissues in the same individual [56,91,92], probably due to tissue-specific control of the mitochondria [93,94]. For example, the effect of fasting on the P/O ratio of cold-acclimated birds differs between pectoralis and gastrocnemius muscles [95], and the loss of mitochondrial efficiency in older humans is more evident in dorsal interosseus muscle than in tibialis anterior muscle [86]. Thus, there is a need for studies that assess P/O ratio across multiple tissues. New approaches, based on biopsy [35,96] or blood samples [97], now make it possible for ecologists to conduct longitudinal studies of mitochondrial function [6], although such an approach may be challenging, depending on the tissue of interest or the studied animal. A crucial step in this field will be to assess whether samples that can be collected without sacrificing animals provide a representative measure of P/O ratios of different tissues.

Future research based on metabolic indices that integrate measurements of oxygen consumption rate and mitochondrial functioning may also aid interpretation of life-history variation among and within populations. While the concept of life-history trade-offs has been appreciated by evolutionary biologists for decades, the mechanisms underlying these trade-offs are still poorly understood [98]. If maximization of the P/O ratio is traded off against ROS production, among-individual differences in mitochondrial bioenergetics may play an important role in linking variation in resource allocation with oxidative stress, two components of a mechanism that has been proposed as a physiological basis of life-history trade-offs [23,99,100]. For example, the effect of fasting on the P/O ratio of cold-acclimated birds differs between pectoralis and gastrocnemius muscles [95], and the loss of mitochondrial efficiency in older humans is more evident in dorsal interosseus muscle than in tibialis anterior muscle [86]. Thus, there is a need for studies that assess P/O ratio across multiple tissues. New approaches, based on biopsy [35,96] or blood samples [97], now make it possible for ecologists to conduct longitudinal studies of mitochondrial function [6], although such an approach may be challenging, depending on the tissue of interest or the studied animal. A crucial step in this field will be to assess whether samples that can be collected without sacrificing animals provide a representative measure of P/O ratios of different tissues.

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Data accessibility. All data come from published articles.

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