The evolution of plant reproductive systems: how often are transitions irreversible?

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Flowering plants are characterized by striking variation in reproductive systems, and the evolutionary lability of their sexual traits is often considered a major driver of lineage diversification. But, evolutionary transitions in reproductive form and function are never entirely unconstrained and many changes exhibit strong directionality. Here, I consider why this occurs by examining transitions in pollination, mating and sexual systems, some of which have been considered irreversible. Among pollination systems, shifts from bee to hummingbird pollination are rarely reversible, whereas transitions from animal to wind pollination are occasionally reversed. Specialized pollination systems can become destabilized through a loss of pollinator service resulting in a return to generalized pollination, or more commonly a reliance on self-pollination. Homomorphic and heteromorphic self-incompatibility systems have multiple origins but breakdown to self-compatibility occurs much more frequently with little evidence for subsequent gains, at least over short time-spans. Similarly, numerous examples of the shift from outcrossing to predominant self-fertilization are known, but cases of reversal are very limited supporting the view that autogamy usually represents an evolutionary dead-end. The evolution of dioecy from hermaphroditism has also been considered irreversible, although recent evidence indicates that the occurrence of sex inconstancy and hybridization can lead to the origin of derived sexual systems from dioecy. The directionality of many transitions clearly refutes the notion of unconstrained reproductive flexibility, but novel adaptive solutions generally do not retrace earlier patterns of trait evolution.

1. Introduction

The ecological versatility of angiosperms is the result of their extraordinary morphological, physiological and life-history diversity. Among the numerous traits that influence life history, those that govern reproduction are particularly influential in facilitating adaptive radiation. Mating patterns affect key evolutionary processes, including genetic transmission, selection response, speciation and the evolutionary diversification of lineages. Indeed, numerous authors have attributed the diversity of angiosperm lineages, for example, Orchidaceae [1] and Polemoniaceae [2], to their reproductive versatility. But how flexible are angiosperm reproductive traits and are some evolutionary transitions more likely to occur than others? Although the lability of floral traits has been considered a hallmark of angiosperm evolution, lineage diversification is never entirely unconstrained. Here, I address these issues by examining evolutionary transitions in pollination, mating and sexual systems, and assess to what extent shifts in reproductive mode are constrained, or in some cases are irreversible.

Evolutionary transitions in form and function are fundamental elements of biological diversification, and the identification of major transitions is an important research programme in plant ecology and evolutionary biology [3,4]. Transitions in plant reproductive systems involve changes in reproductive traits driven largely by natural selection. The traits initially appear within populations and, if adaptive, can spread to survive numerous speciation events and ultimately
characterize entire lineages, e.g. evolution of wind pollination [5]. Alternatively, some transitions appear repeatedly but are ephemeral, e.g. evolution of selfing [6]. The longevity of reproductive traits and their influence on diversification rates are currently major topics in evolutionary biology [7]. Parallel changes in reproductive characters among unrelated lineages are of special interest as they can provide insights into selective mechanisms and the genetic and developmental basis of trait convergence. Comparative methods [8] are now widely used for investigating the polarity of transitions, whether they are labile, more restricted in one direction than another or are unidirectional.

The concept of irreversibility was codified as ‘Dollo’s Law’, which proposes that structures lost are unlikely to be regained in the same form in which they existed in recent ancestors [9,10]. It is usually assumed that the probability of reversal to an ancestral state is inversely proportional to the developmental complexity of the trait. This perspective was used by Stebbins [11] to interpret the likelihood of reversals for several plant traits, some associated with reproduction. For example, he proposed that shifts from the perennial to the annual life history, changes from radially to bilaterally symmetric flowers and the fusion of floral parts from those that are free, can only be reversed with difficulty. By contrast, he suggested that reproductive traits involving the size and number of organs (e.g. flowers per inflorescence) were highly labile. In general, these predictions have been borne out by comparative analyses and studies of artificial selection. Much of the classical literature on irreversible evolution has focused on morphological characters and fossil evidence; however, in an illuminating essay on reproductive and genetic systems, Bull & Charnov [9] identified several cases of irreversibility, including transitions from outcrossing to selfing and from hermaphroditism to dioecy, topics revisited in this article.

Here, I consider current research on the evolution of plant reproductive systems, focusing on examples in which transitions are constrained or irreversible. I use the term ‘reproductive system’ broadly to include diverse aspects of pollination, mating and sexual systems, all of which directly or indirectly affect genetic transmission and evolutionary processes. I begin with transitions between pollination syndromes and ask whether particular floral changes are evolutionarily labile or show evidence of directionality. Next, I consider the gain and loss of self-incompatibility, the principal anti-selfing mechanism in angiosperms, and briefly review several issues relevant to the current debate on whether the evolution of selfing from outcrossing represents an evolutionary dead-end. Finally, I examine the evolution of dioecy from hermaphroditism and consider recent evidence indicating that this transition is not always an endpoint of sexual-system evolution.

2. Transitions in floral traits and pollination syndromes

The immobility of plants and their reliance on pollen vectors for mating is the principal cause of the extraordinary diversification of floral traits and pollination systems in angiosperms. Pollinator-mediated selection on reproductive traits is the primary mechanism of floral diversification and can involve different structural adaptations among related species driven by the same type of pollinator, for example, *Pedicularis* and *Bombus* [12], to more specialized floral changes that result from shifts between different functional groups of pollinators [13]. There are numerous examples of shifts between pollination syndromes revealed by phylogenetic analyses [14]. Here, I review examples of transitions in pollination syndromes that are characterized by a strong directional tendency and consider why these patterns occur.

(a) Shifts between animal-pollination syndromes

The transition from bee pollination to hummingbird pollination in New World plants is characterized by striking multi-character changes to floral traits. The hummingbird syndrome has originated on numerous occasions, particularly in western North America where it may have occurred more than 100 times [15]. For example, in the clade comprising *Penstemon* and segregate genera, a minimum estimate of 21 separate transitions are reported [16]. A striking feature of this transition in *Penstemon*, and in numerous other genera (e.g. *Aquilegia*, *Costus*, *Ipomoea*, *Mimulus* and *Silene*), is that it rarely involves reversals to the bee-pollination syndrome. Why should this be so?

Several hypotheses have been proposed to explain the directional bias in favour of transitions from bee to bird pollination. These include selective mechanisms associated with differences between these pollinator groups in pollen-transfer efficiency, and the nature of genetic mutations in floral pigments associated with the two syndromes [15]. Molecular studies of genetic changes to floral pigments, particularly loss-of-function mutations, provide insight on why the shift from bee to bird pollination is hard to reverse [17]. Investigations of mutational changes causing the shift from blue to red flowers in *Lathyrus* have demonstrated that deletion of a gene coding for an enzyme in the anthocyanin pathway is necessary for the transition [18]. Gene inactivation or loss restricts opportunities for subsequent reversion to the ancestral blue-flowered state.

Bird pollination appears to be the endpoint of pollination syndrome evolution in *Penstemon* and several groups mentioned above. However, comparative analyses of North American species of *Aquilegia* have revealed a different picture, with two transitions from bumble-bee to hummingbird pollination and five from hummingbird to hawkmoth pollination [19]. Significantly, there is no evidence for reversals indicating strong directionality in the evolution of pollination systems. A key feature of this directionality involves the evolution of increased floral spurs, with length always increasing and never decreasing, accompanying transitions between pollinator groups. The developmental mechanism underlying spur-length evolution involves fine-tuning of cell shape through anisotropic cell expansion, a process that solely accounts for the variation in spur length in the genus [20]. Spur-length variation is much reduced among Eurasian *Aquilegia* species, all of which are bee-pollinated [21]. Hawkmoths are not uncommon in Eurasia, raising the question of why no Eurasian species have evolved hawkmoth pollination. The absence of hummingbirds in Eurasia—they left prior to the *Aquilegia* radiation—may have prevented the intermediate floral condition necessary for the evolution of hawkmoth pollination [19,21]. Such historical constraints may explain other examples where transitions appear to have been thwarted.

(b) Evolution from specialization

The evolutionary diversification of pollination syndromes is commonly associated with increased specialization involving
a restricted subset of pollinators in a community. The trend from generalization to specialization characterizes most transitions, although reversals are possible. This has occurred in Dalechampia accompanying migration from Africa, where specialized resin-collecting bees are the principal pollinators, to Madagascar where they are absent and are replaced by a diversity of generalist pollen-feeding insects resulting in a secondary diversification [22]. Long-distance dispersal has clearly played a key role in this transition to generalized pollination. It has recently been shown, however, that a single lineage on Madagascar has rapidly evolved secondary specialization involving buzz pollination by carpenter bees Xylocopa spp. [23], illustrating the striking flexibility of pollination systems in Dalechampia.

Specialized systems may take a different route if mutualisms are lost altogether, resulting in transitions to self-pollination. A remarkable example involves Babiana comprising approximately 86 species endemic to the Cape region of South Africa. At least 14 pollinator transitions have occurred in this genus, including two to bird pollination [24]. Babiana ringens (figure 1a) possesses a uniquely specialized bird perch and is pollinated exclusively by malachite sunbirds [25,26]. Field manipulations demonstrated that the perch positions sunbirds during nectar feeding to promote cross-pollination and high seed set [27]. Populations at the eastern edge of the range have smaller flowers and perches, and although malachite sunbirds commonly visit co-occurring species, they ignore the less rewarding B. ringens. Several lines of evidence suggest that because of the lack of bird visitation, these populations have switched to self-pollination, resulting in relaxed selection and partial dissolution of the bird perch [25]. Specialized pollination systems may often be vulnerable to reduced fertility if pollinator service becomes unreliable, and this may help to explain why flowers with highly specialized pollination often self-pollinate as a mechanism of reproductive assurance [28].

An interesting example of a switch to self-pollination involves Acampe rigida (figure 1b), a deceitful tropical orchid with fragrant flowers that offers no floral rewards. Populations flower during the rainy season when pollinator activity is limited, yet unlike most deceitful orchids plants exhibit high fruit set. This paradox was recently resolved by the discovery that pollination is achieved by raindrop-mediated self-pollination, a phenomenon known as ombrophily [29]. Although flowers are self-compatible (SC), they are incapable of autonomous self-pollination in the absence of rain. Deceit pollination is a risky pollination strategy when pollinators are in short supply and a shift to flowering during the rainy season probably exacerbated this problem in A. rigida.

More perplexing are cases of extreme morphological specialization without obvious contemporary function. Tacca, a genus of 15 species, has large pigmented bracts and numerous motile dangling appendages. These elaborate structures were thought to function by deceit, resembling decaying animal material and attracting carrion flies that mediate...
cross-pollination (sapromyiophily). However, recent studies of *Taccia chantrieri* (figure 1c), a species with particularly extravagant displays, cast doubt on this hypothesis. Field observations failed to find evidence for sapromyiophily and investigations of mating patterns, pollen-ovule ratios and population genetic structure unexpectedly revealed that populations were highly selfing and not outcrossing [30,31]. Why these elaborate structures are maintained in a selfer remains a mystery, as it is unclear if they have any current utility. Strong developmental constraints and/or limited genetic variation may limit the major structural alterations required for their loss.

(c) Reversions from wind to animal pollination

The shift from animal pollination to wind pollination has occurred at least 65 times among angiosperm families [5]. It is associated with the loss of traits for pollinator attraction, including showy perianth parts, scent, nectaries and adaptations associated with the aerodynamics of pollen dispersal and capture. The reacquisition of floral traits required for attracting and rewarding pollinators has been viewed as a large hurdle, and thus the transition from animal to wind pollination has been considered largely irreversible [32]. Nevertheless, despite these potential constraints, phylogenetic evidence indicates that this transition appears to have occurred within some angiosperm taxa (e.g. *Thalictrum*, [33]), indicating that although the transition between the two pollination modes is highly asymmetric, it is certainly not unidirectional.

Recent experimental studies on *Cyperus obtusiflorus* and *Cyperus sphaerocephalus* of the predominantly wind-pollinated sedge family (Cyperaceae) provide convincing evidence of insect pollination [34]. The two species possess showy white or yellow bracts and produce scent that attracts bees, butterflies and flies to inflorescences. Insect exclusion studies revealed that pollinators were required for seed set, and wind tunnel experiments demonstrated the low motility of pollen compared with co-occurring wind-pollinated sedges, probably because of the occurrence of pollenkit, which causes pollen to clump and is closely associated with animal pollination. Insect pollination also occurs in the related and predominantly wind-pollinated rush family (Juncaceae). A particularly striking case involves *Juncus allioides* (figure 1d,e) from southwestern China, which exhibits conspicuous floral displays with inflorescences composed of flowers with large white tepals, and like animal-pollinated members of the Cyperaceae, populations are visited by a wide range of generalist pollinators [35]. Juncaceae are unusual for a wind-pollinated family in possessing pollen tetrads [36], a condition more commonly associated with animal pollination. In both Cyperaceae and Juncaceae, pollen aggregation may have facilitated the transition to animal pollination, perhaps via an intermediate stage involving both wind and animal pollination (ambophily).

3. Directionality of mating and sexual-system transitions

Mating involves the pollination and post-pollination processes resulting in ovule fertilization, including both the maternal and paternal contributions of genes to the next generation. Angiosperms exhibit complex mating patterns because of their immobility, reliance on vectors for pollen dispersal and sexual-system diversity. Next, I consider reproductive adaptations governing mating and sexual-system transitions and review evidence for their directionality.

(a) The gain and loss of self-incompatibility systems

Diverse demographic and environmental factors affect outcrossing rates in plant populations, but whether species are self-incompatible (SI) or SC is particularly influential. Different physiological and molecular mechanisms govern the various types of SI system [37], but collectively they represent the most important means by which plants limit inbreeding depression. Self-incompatibility systems are reported from at least 100 plant families representing approximately 40–60% of all angiosperm species [38], and these are classified using various criteria, including whether the mating types are morphologically distinct (heteromorphic) or not (homomorphic), the genetic mode of action (gametophytic or sporophytic) and the sites in the pistil where self-pollen tubes are arrested (stigma, style and ovary). The widespread phylogenetic distribution of SI and the occurrence of families with variation in SI systems suggest more frequent de novo origins of this character than previously thought. Earlier literature on SI often stressed the complex nature of self-recognition systems and the stringent conditions required for their origin.

Although SI systems show multiple independent origins, the frequency of loss far exceeds the number of gains. Moreover, once SI is lost, there is compelling evidence that it is rarely ever regained, at least in its ancestral form. It is important to add this caveat: although it is unlikely that the more derived sporophytic SI has ever evolved directly from the widespread, ancestral gametophytic SI, given their quite different molecular mechanisms, sporophytic SI may have evolved in SC lineages derived from ancestors that originally possessed gametophytic SI. The RNase-based gametophytic system homologous in Solanaceae, Plantaginaceae and Rosaceae has been lost repeatedly, including a minimum of 60 times in the Solanaceae alone [39]. Evidence from shared ancestral polymorphism of S-allele sequences in Solanaceae indicates that once SI is lost in this family, it apparently never re-establishes [40]. Despite methodological difficulties in unequivocally proving Dollo's Law [10], the transition from SI to SC in Solanaceae probably represents the best example of irreversible evolution of a mating system trait.

Are transitions from SI to SC in other systems always irreversible? Recent molecular genetic characterization of the homomorphic sporophytic SI system in *Leavenworthia alabamica* (Brassicaceae) suggests that this may not always be the case [41]. The S-locus in this species is composed of two linked loci (*LaLal2* and *LaSCRL*) that appear to have evolved secondarily from paralogues of the well-characterized ancestral SRK and SCR genes governing SI in other members of Brassicaceae, but which are absent in *L. alabamica*. This may have resulted from neo-functionalization of these genes, which are present in the related *Ambidopsis lyrata*, but do not function in SI. At this stage, it is not possible to unequivocally determine whether the secondary evolution of an S-locus in *Leavenworthia* occurred in a lineage that had previously lost SI, or whether SRK and SCR were still present when *LaLal2* and *LaSCRL* took on S-locus function. Nevertheless, these results are exciting and suggest that genetic mechanisms governing SI evolution may be less constrained than often portrayed.

Families that contain different forms of SI are of particular interest for investigating evolutionary relationships among SI
systems. Recent surveys indicate that 12–22 families appear to contain more than one SI system [38,39]. The Polemoniaceae is of particular interest as it contains approximately 275 species with four different classes of SI, including: gametophytic SI in *Phlox*, sporophytic SI in *Linanthus*, late-acting (ovarian) SI in *Ipomopsis* and heteromorphic SI in *Aliciella* (reviewed in [42]). It is not known whether these different types of incompatibility arose independently, whether they are evolutionarily related or whether several forms of SI operate together in governing self-rejection, as has been suggested by Lewis [43] for Brassicaceae and Asteraceae, but not confirmed. Phylogenetic and molecular genetic studies of families containing more than one type of SI system would be valuable to determine whether homologous loci govern self-rejection and the extent to which one system might act in concert with another.

In heteromorphic SI, there are two (distyly) or three (tristyly) mating groups that, in addition to their specific incompatibility relationships, differ in style length, anther height and pollen and stigma polymorphisms. This suite of traits is commonly referred to as the ‘heterostylosus syndrome’, and the origin of heterostyly has usually been considered a relatively uncommon event because of the complex evolutionary forces required for assembling the morphological and physiological components of the syndrome, and the restricted range of floral characters that allow the polymorphism to be selected [44,45]. It has been estimated that heterostyly has evolved on at least 23 separate occasions, based on its distribution among 19 orders of angiosperms [45]. However, this number will increase as phylogenetic data become available.

The origin of heterostyly is frequently associated with high selfing, the loss of the complexity of the syndrome and because homostyle transition involves island populations of SC, homostylous *Turnera ulmifolia*. Homostyly in this group has originated through recombination in the distyly linkage group [37], and these forms have colonized Caribbean islands presumably because of their facility for autonomous self-pollination (Baker’s Law). On some islands, the homostyless have evolved various degrees of herkogamy through selection on quantitative genetic variation in stigma–anther separation, and this has resulted in increased outcrossing rates compared with ancestral homostyles [57,58]. *Turnera ulmifolia* is an allohexaploid and...
hybridity may have boosted standing genetic variation in floral traits, enabling selection for outcrossing. Also, these events occurred on islands, which are known for many striking examples of adaptive reversals in common evolutionary trends [59]. The future search for examples of the evolution of outcrossing from selfing might profitably examine plant radiations on islands.

(c) Evolution to and from dioecy

Dioecy occurs in only 6–7% of flowering plant species but is represented in close to half of all angiosperm families, originating on at least 100 occasions from hermaphroditism [60,61].

The most widely accepted hypothesis for the evolution of dioecy is that it functions to avoid inbreeding, a frequent cost of hermaphroditism. Dioecy usually evolves from SC rather than SI ancestors, a pattern consistent with this explanation, and several different routes are involved of which the gynodioecy and monoecy pathways are most common [62]. The transition to separate sexes has often been viewed as an end-point of sexual-system evolution, in part, because reversions to hermaphroditism have seemed unlikely, particularly in animals [9]. Because in flowering plants, dioecious taxa are often at the tips of phylogenies and are significantly lower in species richness than hermaphroditic sister groups, dioecy like selfing has been described as an evolutionary dead-end [63].
Although in many angiosperm taxa, dioecy commonly represents a terminus of sexual-system evolution, there is growing evidence that in some groups this is not the case. Lloyd [64] first documented reversions from dioecy to monoecy in three species of Cottula, and since then several other examples have come to light. For example, phylogenetic reconstructions of the evolutionary history of sexual systems in Monomorica indicate seven independent transitions from dioecy to monoecy [65], apparently associated with changes in the ecology and pollination biology of species. In Wurmbea/Iphegenia, long-distance dispersal from Australia to New Zealand is associated with a transition from dioecy to hermaphroditism, a pattern consistent with Baker’s Law [66]. In Mercurialis annua, diploid populations are dioecious, whereas derived androecious and monoecious populations are polyploid [67]. Most transitions from dioecy to other sexual systems require the establishment of hermaphroditism from unisexuality and depend on the occurrence of standing genetic variation in sex expression in dioecious populations, or the occurrence of hybridization.

Sagittaria latifolia provides a particularly striking example of the evolution of diverse gender strategies from dioecy. Populations are most commonly either monoecious or dioecious, a relatively uncommon condition in most flowering plants [68]. In many dioecious populations of S. latifolia, a low frequency (less than 5%) of male plants produce some female flowers; a common phenomenon among dioecious species known as ‘male sex inconstancy’. At the northern range limit of S. latifolia in eastern North America, a more complex situation occurs involving a wider range of gender strategies, including subdioecious (females, males and hermaphrodites), androecious (males and hermaphrodites) and gynodioecious (females and hermaphrodites) populations. Investigations using sexual-system-specific molecular markers indicate that subdioecious populations have arisen by two distinct mechanisms: (i) directly from dioecious populations through increase in the frequency of sex-inconstant males; or (ii) through hybridization between monoecious and dioecious populations ([69]; S. B. Yakimowski & S. C. H. Barrett 2013, unpublished data). Also some monoecious populations in this region have originated directly from subdioecious populations containing sex inconstant males. Their hermaphroditic condition probably enabled them to found colonies following dispersal. Thus, in S. latifolia, both standing genetic variation in sex expression and hybridization play a role in promoting evolution from dioecy, and the routes linking sexual systems involve two-way rather than one-way streets.

4. Conclusions

This review has largely highlighted examples of transitions in which there is strong evidence of directionality. Although angiosperms display remarkable reproductive flexibility, phyletic heritage, developmental and genetic constraints, and the nature of selection acting on pollination and mating can direct changes along particular evolutionary pathways more often than others. Determining the underlying molecular mechanisms responsible for constrained evolution could contribute significantly to our understanding of reproductive transitions.

Selection experiments and studies of experimental evolution offer valuable opportunities to explore the tempo and directionality of reproductive trait evolution. For example, experimental manipulations of stress conditions in cultures of Caenorhabditis elegans resulted in a shift in mating system from predominant selfing to partial outcrossing [70]. Similar types of studies in predominantly autogamous plants could provide useful lessons for assessing the adaptive benefits of recombination and segregation arising from outcrossing. Few studies have investigated the response to selection on levels of autogamy, but where this has been conducted both increased and decreased levels of self-pollination were observed [71]. Although challenging, such experiments can be especially revealing if they include the presence and absence of pollinators, as was recently done to examine the patterns of phenotypic and genetic change associated with the selfing syndrome [72].

Finally, our ability to identify constrained evolution and the occurrence of irreversibility has largely used phylogenetic methods. However, as pointed out by Goldberg & Igic [10], tests of irreversible evolution frequently provide incorrect results owing to a variety of technical problems associated with the models used. Future studies on the problem of irreversible evolution will benefit from the integration of improved phylogenetic methods, selection experiments and the molecular genetic dissection of trait evolution.

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